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# Instabilities in multilayered soft dielectrics

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## ABSTRACT

Experimental observations clearly show that the performance of dielectric elastomericbased devices can be considerably improved using composite materials. A critical issue in the development of composite dielectric materials toward applications is the prediction of their failure mechanisms due to the applied electromechanical loads. In this paper we investigate analytically the influence of electromechanical loads. In this paper we investigate analytically the influence of electromechanical finite deformations on the stability of multilayered soft dielectrics under plane-strain conditions. Four different criteria are considered: (i) loss of positive definiteness of the tangent electroelastic constitutive operator, (ii) existence of diffuse modes of bifurcation (*microscopic* modes), (iii) loss of strong ellipticity of the homogenized continuum (localized or *macroscopic* modes), and (iv) electric breakdown. While the formulation is developed for generic isotropic hyperelastic dielectrics, results are presented for the special class of ideal dielectrics incorporating a neo-Hookean elastic response. The effect of material properties and loading conditions is investigated, providing a detailed picture of the different possible failure modes.

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## 1. Introduction

The application of a voltage through electrodes to soft dielectric elastomers deforms them substantially, giving us the opportunity to use this principle to design a new class of actuators. Discovery and development of these materials were first reported in the works of Pelrine and coworkers (Pelrine et al., 1998, 2000). Immediately, they attracted significant interest because of tremendous potential in areas as robotics, aerospace and biomedical engineering. Currently, dielectric elastomers are widely employed to manufacture devices as reliable electrically driven actuators, manipulators and energy harvesters (see Bar-Cohen, 2001; Carpi et al., 2008a; Carpi and Smela, 2009, and references cited therein).

A significant challenge in the development of devices based on dielectric elastomers is that they often require the application of extremely high voltages as a result of the material low dielectric constant. This represents a clear limitation in their development toward further applications, but both experimental (Zhang et al., 2002; Huang et al., 2004; Carpi et al., 2008b) and analytical (deBotton et al., 2007) investigations showed that composite materials can provide a solution to this critical issue. When stiff and high-permittivity particles are included in a soft elastomeric matrix, the overall dielectric constant of the material increases considerably, while its deformability may be only marginally affected. deBotton et al. (2007) showed that the use of biphasic laminate dielectric composites can improve the actuation strain up to 50% or, on the other hand, can provide the same actuation with a sensible decrease of the applied voltage. However, to

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design a new class of optimized devices based on dielectric composites, further investigations are necessary focusing both on their failure under the applied loads and on the effect of inclusions volume fraction, geometry and material properties on their performance. In this work we concentrate on the latter issue, investigating in a systematic way instabilities that develop in finitely deformed multilayered dielectrics.

The optimization of the performance of dielectric elastomer actuators is a challenging task due to their multiple failure modes. For single-phase actuators, electromechanical instabilities (unstable thinning of the actuator, local buckling induced by coexistent states, electric breakdown) have been explored both analytically (Zhao and Suo, 2007; Zhao et al., 2007; Moscardo et al., 2008; Liu et al., 2009) and experimentally (Plante and Dubowsky, 2006), providing also design guidelines to prevent potential failures under operating conditions. Moreover, Dorfmann and Ogden (2010) recently investigated surface instabilities for an electroelastic half space. In composite systems instabilities are even more critical and a larger family of potential failure modes must be considered.

In this scenario modeling represents a fundamental tool. Motivated by the development of applications, the nonlinear theory of soft dielectrics, first proposed by Toupin (1956), has been recently reviewed and further developed. In particular, we refer to the work of McMeeking and Landis (2005), Dorfmann and Ogden (2005) and Suo et al. (2008), where both the concepts of Maxwell and total stresses and the formulation of constitutive equations for conservative materials have been discussed. In addition, based on this theory a variational formulation has been built and discretized using the finite element method (Vu et al., 2007).

In this paper the stability of multilayered hyperelastic dielectric elastomers deforming at large strains is systematically investigated. Four instability criteria for composites are introduced,<sup>1</sup> namely

- (i) loss of positive definiteness of the tangent electroelastic constitutive operator;
- (ii) existence of diffuse modes of bifurcation (*microscopic* modes);
- (iii) loss of strong ellipticity of single phases and of the homogenized continuum (localized modes or, in the latter case, *macroscopic* modes);
- (iv) *electric breakdown*.

The first three criteria follow from the theory of bifurcation and stability for nonlinear elastic solids developed by Hill (1957) and Biot (1965) and applied subsequently to investigate loss of uniqueness of given loading paths in boundaryvalue problems for both homogeneous solids (Hill and Hutchinson, 1975; Ogden, 1984; Needleman and Ortiz, 1991; Triantafyllidis and Lehner, 1993) and composite materials (Triantafyllidis and Maker, 1985; Geymonat et al., 1993; Bigoni and Gei, 2001; Triantafyllidis et al., 2006; Michel et al., 2007). Electric breakdown is instead specific for dielectric materials that are characterized by a limit maximum value for the intensity of the electric field, beyond which electric discharges may take place.

The four instability criteria are then specialized to rank-one *layered composites* finitely deformed under plane-strain conditions. A detailed analysis of instabilities is reported for a multilayer with two phases made of ideal dielectrics incorporating a neo-Hookean elastic response (Dorfmann and Ogden, 2005). Interestingly, the results clearly show that depending on the heterogeneity contrast between the phases and on the loading conditions different failure modes may occur.

## 2. Theory of elastic dielectrics

## 2.1. Basic notation

In this section we summarize the equations governing the nonlinear electrostatic deformation (electrodynamical effects are excluded) of heterogeneous dielectrics following the formulation previously introduced by McMeeking and Landis (2005), Dorfmann and Ogden (2005), deBotton et al. (2007) and Suo et al. (2008).

Let us consider an isolated system consisting of a multi-phase electroelastic body and the complemental surrounding space (Fig. 1) and indicate by  $\mathcal{B}^0$  and  $\mathcal{B}^0_{sur} = \mathbb{R}^3 \setminus \mathcal{B}^0$  the undeformed stress-free configuration of the body and the surrounding space, respectively. We identify with  $\partial \mathcal{B}^0$  the boundary separating  $\mathcal{B}^0$  from the surrounding, while  $\partial \mathcal{B}^0_{int}$  denotes the set of all the internal interfaces between heterogeneities in  $\mathcal{B}^0$ . The application of both mechanical loadings and electric fields deforms quasi statically the body from  $\mathcal{B}^0$  to the current configuration  $\mathcal{B}$  and interfaces  $\partial \mathcal{B}^0$  and  $\partial \mathcal{B}^0_{int}$  to  $\partial \mathcal{B}$  and  $\partial \mathcal{B}_{int}$ , respectively. Such deformation is described by the function  $\chi$  that maps a reference point  $\mathbf{x}^0$  in  $\mathcal{B}^0$  to its deformed position  $\mathbf{x} = \chi(\mathbf{x}^0)$  in  $\mathcal{B}$ . The associated deformation gradient will be denoted by  $\mathbf{F} = \partial \chi / \partial \mathbf{x}^0$ , while J identifies its determinant,  $J = \det \mathbf{F}$ . If the surrounding space does not consists of vacuum, the deformation  $\chi$  can be extended to  $\mathcal{B}^0_{sur}$ , yielding  $\mathcal{B}_{sur} = \mathbb{R}^3 \backslash \mathcal{B} = \chi(\mathcal{B}^0_{sur})$ .

At this point it is important to note that when electric and/or magnetic interactions are considered, the solution of a boundary-value problem requires the integration of the governing equations over the entire system (i.e. the body and the

<sup>&</sup>lt;sup>1</sup> It is important to note that throughout the paper we refer generically to (i)–(iv) as 'instability' criteria. More precisely, (i)–(iii) detect a possible bifurcation point along the fundamental deformation path, while (iv) corresponds to a failure threshold for the solid.



**Fig. 1.** An isolated system consisting of a heterogeneous dielectric body and the surrounding space in the current configuration. In the sketch  $\partial B_{int} = \partial B_{int}^{1} \cup \partial B_{int}^{2} \cup \partial B_{int}^{3}$ , while  $\partial B_{\nu}$  and  $\partial B_{t}$  ( $\partial B = \partial B_{\nu} \cup \partial B_{t}$ ) denote the portions of  $\partial B$  where displacements and tractions are applied, respectively.

surrounding space). As a consequence, specific relations for the jumps over  $\partial B$  must be satisfied by the relevant quantities entering the governing equations.

## 2.2. Spatial formulation of the governing equations

Equilibrium of the dielectric body is ensured when the total stress  $\tau$ , electric displacement **D** and electric field **E** satisfy

$$\operatorname{div} \boldsymbol{\tau} + \boldsymbol{b} = \boldsymbol{0}, \quad \boldsymbol{\tau}^{T} = \boldsymbol{\tau}, \quad \operatorname{div} \boldsymbol{D} = \boldsymbol{0}, \quad \operatorname{curl} \boldsymbol{E} = \boldsymbol{0} \quad (\operatorname{in} \ \mathcal{B} \cup \mathcal{B}_{\operatorname{sur}}), \tag{1}$$

**b** being the 'mechanical' specific body force. Eqs.  $(1)_{1,2}$  are associated with equilibrium of momenta, while Eqs.  $(1)_{3,4}$  are the relevant Maxwell's equations in the quasi-electrostatic limit. Eq.  $(1)_4$  implies that the electric field is conservative, so that it can be written as a function of the electric potential  $\phi(\mathbf{x})$ 

$$\mathbf{E} = -\operatorname{grad} \phi$$
,

 $\phi(\mathbf{x})$  being a continuous function defined over the entire system, since double layers of charge are not considered. The electric field causes the alignment of dipoles inside the body leading to its polarization, which is described by a vector field  $\mathbf{P}$ ,

$$\mathbf{P} = \mathbf{D} - \varepsilon_0 \mathbf{E}.$$

To specify boundary conditions, the subsets  $\partial B_{\nu}$  and  $\partial B_t (\partial B_{\nu} \cup \partial B_t = \partial B, \partial B_{\nu} \cap \partial B_t = \emptyset)$  are introduced, where displacements and surface tractions are prescribed, respectively. Thus the boundary conditions across  $\partial B$  are given by (see Fig. 1)

$$\llbracket \mathbf{v} \rrbracket = \mathbf{0}, \quad \llbracket \boldsymbol{\tau} \rrbracket \mathbf{n} = \mathbf{t} \quad (\text{on } \partial \mathcal{B}_t), \quad \mathbf{v} = \tilde{\mathbf{v}} \quad (\text{on } \partial \mathcal{B}_v),$$

$$\llbracket \mathbf{D} \rrbracket \cdot \mathbf{n} = -\omega, \quad \mathbf{n} \times \llbracket \mathbf{E} \rrbracket = \mathbf{0} \quad (\text{on } \partial \mathcal{B}),$$

(2)

(4)

where **v** denotes the finite displacement field,  $\tilde{\mathbf{v}}$  and **t** are prescribed vector fields, **n** is the current outward normal to  $\partial \mathcal{B}$ ,  $\omega$  is the surface charge density<sup>2</sup> and  $[\cdot]$  is the jump operator defined on  $\partial \mathcal{B}$  as  $[f] = f^{\text{solid}} - f^{\text{sur}}$ .

Moreover, assuming that no mechanical tractions and surface charges are applied to  $\partial B_{int}$  and that heterogeneities in the body are perfectly bonded, the boundary conditions across  $\partial B_{int}$  separating phases 'a' and 'b' are given by

$$\llbracket \mathbf{v} \rrbracket = \mathbf{0}, \quad \llbracket \mathbf{\tau} \rrbracket \mathbf{n} = \mathbf{0}, \quad \llbracket \mathbf{D} \rrbracket \cdot \mathbf{n} = \mathbf{0}, \quad \mathbf{n} \times \llbracket \mathbf{E} \rrbracket = \mathbf{0} \quad (\text{on } \partial \mathcal{B}_{\text{int}}), \tag{3}$$

where now the jump operator is defined as  $[f] = f^b - f^a$  and **n** is the unit normal pointing towards phase 'a'.

In the particular case of the surrounding space consisting of vacuum, the boundary conditions  $(2)_{2,4}$  specialize to

$$\tau \mathbf{n} = \mathbf{t} + \tau^* \mathbf{n}, \quad \mathbf{D} \cdot \mathbf{n} = -\omega + \varepsilon_0 \mathbf{E}^* \cdot \mathbf{n},$$

respectively, where  $\tau$  and **D** are evaluated in the body, whereas \* denotes quantities evaluated in the vacuum,

$$\mathbf{r}^* = \varepsilon_0 \left( \mathbf{E}^* \otimes \mathbf{E}^* - \frac{1}{2} E^{*2} \mathbf{I} \right), \quad \mathbf{D}^* = \varepsilon_0 \mathbf{E}^*$$

<sup>&</sup>lt;sup>2</sup> Often charges are accumulated on the surface through electrodes connected to a battery which imposes a constant electric potential. In this case the boundary condition (2)<sub>4</sub> must be replaced by a boundary condition setting the appropriate value of  $\phi$  over the region where the electrodes are applied.

## 2.3. Lagrangian formulation of the governing equations

Integration of Eqs. (1) over  $\mathcal{B}$  and change of variable from **x** to  $\mathbf{x}^0$  yield<sup>3</sup>

$$\operatorname{Div} \mathbf{S} + \mathbf{b}^{0} = \mathbf{0}, \quad \mathbf{S} \mathbf{F} = (\mathbf{S} \mathbf{F})^{T}, \quad \operatorname{Div} \mathbf{D}^{0} = \mathbf{0}, \quad \operatorname{Curl} \mathbf{E}^{0} = \mathbf{0} \quad (\operatorname{in} \ \mathcal{B}^{0} \cup \mathcal{B}^{0}_{\operatorname{sur}}), \tag{5}$$

which represent the Lagrangian formulation of the field equations for the electroelastic response of the system. In the equations above  $\mathbf{S} = J \mathbf{\tau} \mathbf{F}^{-T}$  is the total first Piola-Kirchhoff stress,  $\mathbf{D}^0 = J \mathbf{F}^{-1} \mathbf{D}$  is the Lagrangian (or nominal) electric displacement,  $\mathbf{E}^0 = \mathbf{F}^T \mathbf{E}$  is the Lagrangian (or nominal) electric field<sup>4</sup> and Div and Curl denote the div and curl operators in  $\mathcal{B}^0$ . Since the electric field is conservative, in the reference configuration  $\mathbf{E}^0 = -\text{Grad }\phi^0$ , where  $\phi^0(\mathbf{x}^0) = \phi(\mathbf{x})$  is the Lagrangian (nominal) electric potential.

The boundary conditions (2) may be rewritten in Lagrangian form as

$$\begin{bmatrix} \mathbf{v}^0 \end{bmatrix} = \mathbf{0}, \quad \begin{bmatrix} \mathbf{S} \end{bmatrix} \mathbf{n}^0 = \mathbf{t}^0 \quad (\text{on } \partial \mathcal{B}_t^0), \quad \mathbf{v}^0 = \tilde{\mathbf{v}}^0 \quad (\text{on } \partial \mathcal{B}_v^0),$$
$$\begin{bmatrix} \mathbf{D}^0 \end{bmatrix} \cdot \mathbf{n}^0 = -\omega^0, \quad \mathbf{n}^0 \times \begin{bmatrix} \mathbf{E}^0 \end{bmatrix} = \mathbf{0} \quad (\text{on } \partial \mathcal{B}^0), \tag{6}$$

where  $\mathbf{v}^{0}(\mathbf{x}^{0}) = \mathbf{v}(\mathbf{x})$ . The Lagrangian form of Eqs. (3) can be obtained analogously.

We would like to remark that when vacuum surrounds the body, there is no deformation associated with it, so that the definition of Lagrangian quantities in  $\mathcal{B}_{sur}^{0}$  has no meaning. Therefore, Eqs. (4) can be rewritten in Lagrangian form as

$$\mathbf{Sn}^{0} = \mathbf{t}^{0} + J \boldsymbol{\tau}^{*} \mathbf{F}_{\text{bnd}}^{-T} \mathbf{n}^{0} \quad \text{and} \qquad \mathbf{D}^{0} \cdot \mathbf{n}^{0} = -\omega^{0} + \varepsilon_{0} J \mathbf{F}_{\text{bnd}}^{-1} \mathbf{E}^{*} \cdot \mathbf{n}^{0}, \tag{7}$$

where  $\mathbf{F}_{bnd} = \mathbf{F}_{\mid \partial \mathcal{B}^0}$ .

## 2.4. Constitutive equations

Let us consider a conservative material whose response is described by the free-energy function  $W=W(\mathbf{F},\mathbf{D}^0)$ . Application of the first and second law of thermodynamics yields (McMeeking and Landis, 2005; Dorfmann and Ogden, 2005; Suo et al., 2008)

$$\mathbf{S} = \frac{\partial W}{\partial \mathbf{F}}, \quad \boldsymbol{\tau} = \frac{1}{J} \frac{\partial W}{\partial \mathbf{F}} \mathbf{F}^{\mathrm{T}}, \tag{8}$$

whereas the electric field is obtained as

$$\mathbf{E}^{0} = \frac{\partial W}{\partial \mathbf{D}^{0}}, \quad \mathbf{E} = \mathbf{F}^{-T} \frac{\partial W}{\partial \mathbf{D}^{0}}.$$
(9)

For an incompressible material (i.e. J=1) Eqs. (8) modify as

$$\mathbf{S} = \frac{\partial W}{\partial \mathbf{F}} - p\mathbf{F}^{-T}, \quad \boldsymbol{\tau} = \frac{\partial W}{\partial \mathbf{F}} \mathbf{F}^{T} - p\mathbf{I}, \tag{10}$$

where *p* is an arbitrary hydrostatic pressure.

Objectivity requires the free energy  $W(\mathbf{F}, \mathbf{D}^0)$  to be written as a function of the right Cauchy–Green tensor  $\mathbf{C} = \mathbf{F}^T \mathbf{F}$  and  $\mathbf{D}^0$ , which are both invariant under arbitrary rigid-body motions. Moreover, for an isotropic hyperelastic dielectric body the free energy  $W(\mathbf{C}, \mathbf{D}^0)$  can be expressed as a function of the invariants of  $\mathbf{C}$  (see Dorfmann and Ogden, 2005)

 $I_1 = \text{tr} \mathbf{C}, \quad I_2 = \frac{1}{2} [(\text{tr} \mathbf{C})^2 - \text{tr} \mathbf{C}^2], \quad I_3 = \text{det} \mathbf{C} = J^2,$ 

and of three additional invariants depending on  $\mathbf{D}^{0}$ , namely

 $I_4 = \mathbf{D}^0 \cdot \mathbf{D}^0$ ,  $I_5 = \mathbf{D}^0 \cdot \mathbf{C} \mathbf{D}^0$ ,  $I_6 = \mathbf{D}^0 \cdot \mathbf{C}^2 \mathbf{D}^0$ .

Due both to the lack of available experimental data and to the desire of a simple enough formulation that allows a better understanding of the material response, an uncoupled form for the free energy is often considered

$$W = W_{\text{elas}}(I_1, I_2, I_3) + W_{\text{pol}}(I_4, I_5, I_6), \tag{11}$$

where  $W_{elas}$  is the strain energy when electric effects are disregarded, whereas  $W_{pol}$  represents the contribution of the polarization of the solid. Several investigations (Eringen and Maugin, 1989; McMeeking and Landis, 2005; Zhao et al., 2007; Zhao and Suo, 2008) showed that the uncoupled free energy (11) well captures the behavior of large classes of soft

<sup>&</sup>lt;sup>3</sup> Denoting by  $dV^0$  an infinitesimal volume in  $\mathcal{B}^0$ , by  $dA^0$  an infinitesimal area on  $\partial \mathcal{B}^0$  and by  $\mathbf{n}^0$  its outward unit normal,  $\mathbf{b}^0 dV^0$  corresponds to the infinitesimal current body force,  $\mathbf{t}^0 dA^0$  to the infinitesimal current contact force and  $\omega^0 dA^0$  to the infinitesimal current surface charge. Note that  $dA^0$  can be expressed in terms of the current quantities using Nanson's formula  $\mathbf{n} dA_J \mathbf{F}^{-T} \mathbf{n}^0 dA^0$ , whereas  $dV = J dV^0$ .

<sup>&</sup>lt;sup>4</sup> We avoid to define a 'Lagrangian polarization vector' since there is no Maxwell's equation associated with this field.

dielectrics such us ideal dielectrics and electrostrictive materials. In Section 5 results will be presented for an incompressible dielectric  $(I_3=1)$  whose behavior is captured using the free energy

$$W^{\rm nH} = \frac{\mu}{2}(I_1 - 3) + \frac{1}{2\varepsilon}I_5,\tag{12}$$

where a neo-Hookean form has been adopted for  $W_{elas}$  and the dependency of  $W_{pol}$  on  $I_4$  and  $I_6$  has been neglected. In Eq. (12)  $\mu$  is the shear modulus in the reference configuration and  $\varepsilon = \varepsilon_0 \varepsilon_r$  denotes the dielectric constant of the solid taken to be unaffected by the deformation, so that the free energy (12) predicts a linear proportionality between electric displacement and electric field along any loading path. The adoption of the form (12) is justified by experimental data on typical materials for soft dielectrics (see, e.g., Goulbourne et al., 2005; Kofod et al., 2003) showing that (i) the simple form of the free energy  $W^{nH}$  describes well the electromechanical behavior of these materials up to a maximum tensile stretch of about two, while for highly strained specimens more refined models such those proposed by Ogden and Yeoh must be employed; (ii) the dielectric permittivity  $\varepsilon$  is almost insensitive on the large strain applied to the specimen.

## 2.5. Incremental boundary-value problem

0

0

The mechanics of incremental deformations superimposed upon a given state of finite deformation allows the investigation of instabilities that develop in dielectric bodies subjected to nonlinear electrostatic deformations. Here, in the spirit of Dorfmann and Ogden (2010), we focus on conservative dielectric materials that are isotropic in the reference configuration and satisfy at each stage of deformation Eqs. (5) and (6).

## 2.5.1. Lagrangian formulation

Let us consider a perturbation  $\dot{\mathbf{t}}^0$  and  $\dot{\omega}^0$  of the tractions and the surface charges applied on  $\partial \mathcal{B}^0$  that takes the body to a new equilibrium configuration where Eqs. (5) and (6) are still satisfied and leaves the body force density  $\mathbf{b}^0$  unchanged. The incremental problem is governed by

$$\operatorname{Div} \dot{\mathbf{S}} = \mathbf{0}, \quad \operatorname{Div} \dot{\mathbf{D}}^{\circ} = \mathbf{0}, \quad \operatorname{Curl} \dot{\mathbf{E}}^{\circ} = \mathbf{0} \quad (\operatorname{in} \ \mathcal{B}^{0} \cup \mathcal{B}^{0}_{\mathrm{sur}}), \tag{13}$$

where  $\dot{S}$ ,  $\dot{D}^{0}$  and  $\dot{E}^{0}$  denote the increments of total first Piola-Kirchhoff stress, nominal electric displacement and nominal electric field caused by the perturbation. Moreover, the incremental jump conditions at the external boundary of the body take the form

$$\llbracket \dot{\mathbf{X}} \rrbracket = \mathbf{0}, \quad \llbracket \dot{\mathbf{S}} \rrbracket \mathbf{n}^0 = \dot{\mathbf{t}}^0 \quad (\text{on } \partial \mathcal{B}_t^0), \quad \dot{\mathbf{X}} = \mathbf{0} \quad (\text{on } \partial \mathcal{B}_v^0),$$

$$\llbracket \dot{\mathbf{D}}^{0} \rrbracket \cdot \mathbf{n}^{0} = -\dot{\omega}^{0}, \quad \mathbf{n}^{0} \times \llbracket \dot{\mathbf{E}}^{0} \rrbracket = \mathbf{0} \text{ (on } \partial \mathcal{B}^{0}), \tag{14}$$

 $\dot{\mathbf{x}} = \dot{\boldsymbol{\chi}}(\mathbf{x}^0)$  denoting the incremental deformation. Similar boundary conditions hold at  $\partial \mathcal{B}_{int}^0$ , namely

$$[\![\dot{\mathbf{x}}]\!] = \mathbf{0}, \quad [\![\dot{\mathbf{S}}]\!] \mathbf{n}^0 = \mathbf{0}, \quad [\![\dot{\mathbf{D}}^0]\!] \cdot \mathbf{n}^0 = \mathbf{0}, \quad \mathbf{n}^0 \times [\![\dot{\mathbf{E}}^0]\!] = \mathbf{0} \quad (\text{on } \partial \mathcal{B}_{\text{int}}^0).$$
(15)

When the dielectric body is surrounded by vacuum, the incremental counterpart of Eqs. (7) is given by

$$\dot{\mathbf{S}}\mathbf{n}^{0} = \dot{\mathbf{t}}^{0} + \dot{\mathbf{S}}^{*}\mathbf{n}^{0}, \quad \dot{\mathbf{D}}^{0} \cdot \mathbf{n}^{0} = -\dot{\boldsymbol{\omega}}^{0} + \dot{\mathbf{D}}^{0*} \cdot \mathbf{n}^{0}, \tag{16}$$

where  $\dot{\mathbf{S}}$  and  $\dot{\mathbf{D}}^0$  are evaluated in the body, while  $\mathbf{S}^* = J \tau^* \mathbf{F}_{\text{bnd}}^{-T}$  and  $\mathbf{D}^{0*} = \varepsilon_0 J \mathbf{F}_{\text{bnd}}^{-1} \mathbf{E}^*$  are evaluated in vacuum. Assuming that all incremental quantities are sufficiently small, the constitutive equations  $(8)_1$  and  $(9)_1$  can be linearized as

$$\dot{\mathbf{S}} = \mathbb{C}^0 \dot{\mathbf{F}} + \mathbf{B}^0 \dot{\mathbf{D}}^0, \quad \dot{S}_{ij} = C^0_{ijkl} \dot{F}_{kl} + B^0_{ijM} \dot{D}^0_M,$$

$$\dot{\mathbf{E}}^{0} = \mathbf{B}^{0T^{*}}\dot{\mathbf{F}} + \mathbf{A}^{0}\dot{\mathbf{D}}^{0}, \quad \dot{E}_{M}^{0} = B_{iJM}^{0}\dot{F}_{iJ} + A_{MN}^{0}\dot{D}_{N}^{0}, \tag{17}$$

where  $\dot{\mathbf{F}} = \text{Grad} \dot{\boldsymbol{\chi}}$  and  $(B^{0T^*})_{Mij} = B^0_{ijM}$ . It follows from Eqs. (8)<sub>1</sub> and (9)<sub>1</sub> and (17) that the components of the electroelastic moduli tensors  $\mathbb{C}^0$ ,  $\mathbf{B}^0$  and  $\mathbf{A}^0$  are given by

$$C^{0}_{ijkL} = \frac{\partial^2 W}{\partial F_{ij} \partial F_{kL}}, \quad B^{0}_{ijM} = \frac{\partial^2 W}{\partial F_{ij} \partial D^{0}_{M}}, \quad A^{0}_{MN} = \frac{\partial^2 W}{\partial D^{0}_{M} \partial D^{0}_{N}}, \tag{18}$$

which imply the symmetries

$$C_{ijkl}^{0} = C_{klij}^{0}, \quad A_{MN}^{0} = A_{NM}^{0}.$$
(19)

For incompressible materials the incremental total first Piola-Kirchhoff stress tensor and Lagrangian electric field are given by

$$\dot{\mathbf{S}} = \mathbb{C}^{0} \dot{\mathbf{F}} + p \mathbf{F}^{-T} \dot{\mathbf{F}}^{T} \mathbf{F}^{-T} - \dot{p} \mathbf{F}^{-T} + \mathbf{B}^{0} \dot{\mathbf{D}}^{0}, \quad \dot{\mathbf{E}}^{0} = \mathbf{B}^{0T^{*}} \dot{\mathbf{F}} + \mathbf{A}^{0} \dot{\mathbf{D}}^{0}, \tag{20}$$

where the Lagrange multiplier  $\dot{p}$  has been introduced by the incompressibility constraint tr( $\dot{F}F^{-1}$ ) = 0.

#### 2.5.2. Updated Lagrangian formulation

Instabilities are often investigated formulating the incremental boundary value problem in an updated Lagrangian formulation, where the reference configuration moves and is identified with the current configuration. Push-forward transformations based on linear momentum balance and divergence theorem (see Appendix A) allow the introduction of the incremental updated quantities

$$\Sigma = \frac{1}{J} \dot{\mathbf{S}} \mathbf{F}^{T}, \quad \hat{\mathbf{D}} = \frac{1}{J} \mathbf{F} \dot{\mathbf{D}}^{0}.$$
<sup>(21)</sup>

The work-conjugate variables to  $\Sigma$  and  $\hat{D}$  can be easily obtained from the second-order work, namely

$$P_{ext} = \int_{\partial B^0} \{ \dot{\phi}^0 \dot{\omega}^0 + \dot{\mathbf{t}}^0 \cdot \dot{\mathbf{x}} \} \, dA^0.$$

Substitution of Eqs. (14)<sub>2,4</sub> and application of the divergence theorem yield

$$P_{ext} = \int_{\mathcal{B}^0 \cup \mathcal{B}^0_{sur}} \{\dot{\mathbf{E}}^0 \cdot \mathbf{D}^0 + \dot{\mathbf{S}} \cdot \dot{\mathbf{F}}\} dV^0 = \int_{\mathcal{B} \cup \mathcal{B}_{sur}} \{\hat{\mathbf{E}} \cdot \hat{\mathbf{D}} + \boldsymbol{\Sigma} \cdot \mathbf{L}\} dV,$$
(22)

where

$$\hat{\mathbf{E}} = \mathbf{F}^{-T} \dot{\mathbf{E}}^0 \tag{23}$$

is the updated incremental Lagrangian electric field,  $\mathbf{u}(\mathbf{x}) = \dot{\mathbf{x}}$ ,  $\mathbf{L}$ =grad  $\mathbf{u}$  and Eqs. (15) have been taken into account. Eq. (22) highlights the analogy between surface charge and surface tractions, displacements and electric potentials. Introduction of the incremental updated quantities into Eqs. (13) yields (see Appendix A)

$$\operatorname{div} \boldsymbol{\Sigma} = \boldsymbol{0}, \quad \operatorname{div} \hat{\boldsymbol{D}} = \boldsymbol{0}, \quad \operatorname{curl} \hat{\boldsymbol{E}} = \boldsymbol{0} \quad (\operatorname{in} \ \mathcal{B} \cup \mathcal{B}_{\operatorname{sur}}), \tag{24}$$

which represent the updated Lagrangian formulation of the field equations governing the incremental problem. The incremental boundary conditions relating the jumps in the updated Lagrangian formulation to the perturbations in the reference configuration can be written as

$$\begin{bmatrix} \mathbf{u} \end{bmatrix} = \mathbf{0}, \quad \begin{bmatrix} \mathbf{\Sigma} \end{bmatrix} \mathbf{n} \, dA = \hat{\mathbf{t}}^0 \, dA^0 \quad (\text{on } \partial \mathcal{B}_t), \quad \mathbf{u} = \mathbf{0} \quad (\text{on } \partial \mathcal{B}_v),$$
  
$$\begin{bmatrix} \hat{\mathbf{D}} \end{bmatrix} \cdot \mathbf{n} \, dA = -\dot{\omega}^0 \, dA^0, \quad \mathbf{n} \times \llbracket \hat{\mathbf{E}} \rrbracket = \mathbf{0} \quad (\text{on } \partial \mathcal{B}),$$
(25)

and

$$\llbracket \mathbf{u} \rrbracket = \mathbf{0}, \quad \llbracket \mathbf{\Sigma} \rrbracket \mathbf{n} = \mathbf{0}, \quad \llbracket \mathbf{\hat{D}} \rrbracket \cdot \mathbf{n} = \mathbf{0}, \quad \mathbf{n} \times \llbracket \mathbf{\hat{E}} \rrbracket = \mathbf{0} \quad (\text{on } \partial \mathcal{B}_{\text{int}}).$$
(26)

When vacuum surrounds the dielectric body Eqs. (25)<sub>2,4</sub> reduce to

$$\Sigma \mathbf{n} \, d\mathbf{A} = \mathbf{\dot{t}}^{\circ} \, d\mathbf{A}^{0} + \Sigma^{*} \mathbf{n} \, d\mathbf{A}, \quad \mathbf{\hat{D}} \cdot \mathbf{n} \, d\mathbf{A} = -\dot{\omega}^{0} \, d\mathbf{A}^{0} + \mathbf{\hat{D}}^{*} \cdot \mathbf{n} \, d\mathbf{A}, \tag{27}$$

where

$$\boldsymbol{\Sigma}^* = \dot{\boldsymbol{\mathcal{T}}}^* + \boldsymbol{\tau}^* [\operatorname{tr} \mathbf{L}_{|\mathrm{bnd}} \mathbf{I} - (\mathbf{L}_{|\mathrm{bnd}})^T], \quad \hat{\mathbf{D}}^* = \varepsilon_0 [\dot{\mathbf{E}}^* + (\operatorname{tr} \mathbf{L}_{|\mathrm{bnd}} \mathbf{I} - \mathbf{L}_{|\mathrm{bnd}}) \mathbf{E}^*],$$

 $\dot{\mathbf{E}}^*$  denoting the increment of the electric field in the vacuum and with  $\mathbf{L}_{\text{lbnd}} = (\text{grad } \mathbf{u})_{\text{l}\partial B}$ .

Introduction of Eq. (21) into Eqs. (17) yields

$$\Sigma = \mathbb{C}\mathbf{L} + \mathbf{B}\hat{\mathbf{D}}, \quad \hat{\mathbf{E}} = \mathbf{B}^{T*}\mathbf{L} + \mathbf{A}\hat{\mathbf{D}}, \tag{28}$$

where the components of the updated constitutive tensors are given by

$$C_{iqkp} = \frac{1}{I} C^{0}_{ijkL} F_{pL} F_{qJ}, \quad B_{iqa} = B^{0}_{ijM} F_{qJ} F^{-1}_{Ma}, \quad A_{ab} = J A^{0}_{MN} F^{-1}_{Ma} F^{-1}_{Nb}.$$
(29)

For an incompressible material Eq.  $(28)_1$  modifies as

$$\boldsymbol{\Sigma} = \mathbb{C}\mathbf{L} + p\mathbf{L}^T - \dot{p}\mathbf{I} + \mathbf{B}\hat{\mathbf{D}},$$

whereas Eq. (29) are still valid substituting J=1.

Analogously to  $\mathbb{C}^0$  and  $\bm{A}^0$  the updated constitutive tensors  $\mathbb{C}$  and  $\bm{A}$  possess major symmetries

 $C_{iqkp} = C_{kpiq}, \quad A_{ab} = A_{ba}.$ 

Moreover, as pointed out by Dorfmann and Ogden (2010), substitution of Eq. (28)<sub>1</sub> into the equality  $\Sigma - \Sigma^T = \mathbf{L} \tau - \tau \mathbf{L}^T$  provides

$$C_{iqkp} + \tau_{ip}\delta_{kq} = C_{qikp} + \tau_{pq}\delta_{ik}, \quad B_{iqa} = B_{qia}, \tag{31}$$

for compressible materials, while for incompressible materials Eq. (31)<sub>1</sub> becomes

 $C_{iakp} + (\tau_{ip} + p\,\delta_{ip})\delta_{ka} = C_{aikp} + (\tau_{pq} + p\delta_{pq})\delta_{ik}.$ 

(30)

In Section 5.1 the components of the incremental constitutive tensors defined above will be given for the class of dielectrics whose response is described by the free energy (12).

## 3. Homogenization of multilayered soft dielectrics

Failure mechanisms occurring in composite materials are often predicted by investigating appropriately homogenized properties of the composite. Thus at this stage, following deBotton (2005) and deBotton et al. (2007), the homogenized response of incompressible rank-one layered soft dielectrics finitely deformed under plane-strain conditions is derived. Here we focus on a biphasic layered structure whose constituents, *a* and *b*, have volume fractions  $c^a = h^{0a}/(h^{0a} + h^{0b})$  and  $c^b = 1 - c^a$ ,  $h^{0a}$  and  $h^{0b}$  denoting the thicknesses of the two phases in the reference configuration  $\mathcal{B}^0$  (see Fig. 2).

The macroscopic deformation gradient  $\mathbf{F}^{av}$ , the macroscopic total first Piola-Kirchhoff stress  $\mathbf{S}^{av}$ , the Lagrangian electric field  $\mathbf{E}^{0av}$  and the Lagrangian electric displacement  $\mathbf{D}^{0av}$  are defined as

$$\mathbf{F}^{av} = c^{a}\mathbf{F}^{a} + c^{b}\mathbf{F}^{b}, \quad \mathbf{S}^{av} = c^{a}\mathbf{S}^{a} + c^{b}\mathbf{S}^{b}, \quad \mathbf{E}^{0 av} = c^{a}\mathbf{E}^{0 a} + c^{b}\mathbf{E}^{0 b}, \quad \mathbf{D}^{0 av} = c^{a}\mathbf{D}^{0 a} + c^{b}\mathbf{D}^{0 b},$$
(32)

respectively.

In the absence of charges along the interface the electric displacement continuity equation ( $[ \mathbf{D}^0 ] \cdot \mathbf{n}^0 = 0$ ) can be recast as

$$\mathbf{D}^{0\,a}\cdot\mathbf{n}^{0}=\mathbf{D}^{0\,b}\cdot\mathbf{n}^{0},$$

and can be alternatively expressed as

$$\mathbf{D}^{0\,a} - \mathbf{D}^{0\,b} = \beta \mathbf{m}^0, \tag{33}$$

where  $\mathbf{n}^0$  is the unit normal to the layers,  $\beta$  is a real parameter and  $\mathbf{m}^0$  is a unit vector parallel to the layers, such that  $\mathbf{n}^0 \cdot \mathbf{m}^0 = 0$ . It follows from Eqs. (32)<sub>4</sub> and (33) that the electric displacement field in the two phases can be written as

$$\mathbf{D}^{0\,a} = \mathbf{D}^{0\,av} + c^b \beta \mathbf{m}^0, \quad \mathbf{D}^{0\,b} = \mathbf{D}^{0\,av} - c^a \beta \mathbf{m}^0. \tag{34}$$

Following a similar approach, deBotton (2005) has shown that interface compatibility ( $[\mathbf{F}]]\mathbf{m}^0 = \mathbf{0}$ ) requires

$$\mathbf{F}^{a} = \mathbf{F}^{av}(\mathbf{I} + \alpha c^{b} \mathbf{m}^{0} \otimes \mathbf{n}^{0}), \quad \mathbf{F}^{b} = \mathbf{F}^{av}(\mathbf{I} - \alpha c^{a} \mathbf{m}^{0} \otimes \mathbf{n}^{0}), \tag{35}$$

where  $\alpha$  is a real parameter. Therefore, the macroscopic free energy of the composite is given by

$$W^{\mathrm{av}} = c^{a} W^{a} (\mathbf{F}^{\mathrm{av}}, \mathbf{D}^{0} \, {}^{\mathrm{av}}, \alpha, \beta) + c^{b} W^{b} (\mathbf{F}^{\mathrm{av}}, \mathbf{D}^{0} \, {}^{\mathrm{av}}, \alpha, \beta), \tag{36}$$

where  $\alpha$  and  $\beta$  are the scalar parameters previously introduced. Their values are obtained imposing continuity of tractions ( $[\mathbf{S}]\mathbf{n}^0 = \mathbf{0}$ ) and tangential components of the electric field ( $\mathbf{n}^0 \times [\mathbf{E}^0] = \mathbf{0}$ ) at the interface. Once  $\alpha$  and  $\beta$  are determined, the macroscopic total stress and electric field can be obtained through Eqs. (32)<sub>2.3</sub>.

In Section 5.2 the formulation will be specialized to the case of a layered structure made of two phases whose response is described by the free energy (12).

## 4. Instabilities of multilayered soft dielectrics

Since a limiting factor in the design of composite materials is their failure under the applied loads, following the pioneering work of Hill (1957) the investigation of the stability of composites subjected to purely mechanical loadings has attracted considerable attention (Ogden, 1984; Triantafyllidis and Maker, 1985; Geymonat et al., 1993; Triantafyllidis et al., 2006; Michel et al., 2007). Here, guided by well-established criteria for the 'pure' mechanical case, for the first time the onset of instabilities for elastic dielectric composites finitely deformed by the application of both electrical and mechanical loadings is investigated.

Three classical bifurcation criteria widely used in the mechanical case are considered and adapted, namely, (i) *loss of positive definiteness* of the tangent electroelastic constitutive operator, (ii) diffuse-mode (*microscopic*) instability, (iii) loss of strong ellipticity (*macroscopic* instability when the analyzed solid is the homogenized continuum). In addition, (iv) *electric breakdown* is investigated, which may represent a critical failure mode when electric fields are considered.

Taking as reference the theory developed by Hill (1957) (see also Petryk, 1993) for nonlinear elastic solids, loading processes producing a unique response – the 'principal equilibrium path' – that can be parameterized in terms of a scalar



Fig. 2. Schematic representation of a biphasic multilayered dielectric deformed under plane-strain conditions.

loading parameter *t* are considered. For each  $t \ge 0$ , an *admissible set* of incremental deformation and Lagrangian electric displacement is defined as a pair  $(\dot{\chi}, \dot{D}^0)$ , with both fields not identically zero, that satisfies the continuity conditions (15) at internal interfaces and such that

$$\dot{\boldsymbol{\chi}} = \mathbf{0}$$
 (on  $\partial \mathcal{B}_{\nu}^{0}$ ),  $[[\dot{\mathbf{D}}^{0}]] \cdot \mathbf{n}^{0} = 0$  (on  $\partial \mathcal{B}^{0}$ ).

At a critical point  $t_{cr}$  along the principal equilibrium path a bifurcation may occur, so that two solutions (1 and 2) of the incremental boundary-value problem illustrated in Section 2.5 exist. We indicate by  $\Delta$  the differences between the two possible solutions in any field quantity, i.e.  $\Delta(\cdot) = (\cdot)^{(1)} - (\cdot)^{(2)}$ . Moreover, *dead-loading conditions* are considered with the applied tractions  $\mathbf{t}^0$  and the surface charge  $\omega^0$  independent of the deformation, so that  $\dot{\mathbf{t}}^0 = \mathbf{0}$  and  $\dot{\omega}^0 = 0$ .

When the incremental boundary conditions are given by Eqs. (14) with  $\dot{\mathbf{t}}^0 = \mathbf{0}$  and  $\dot{\omega}^0 = 0$ , it is easy to show that the set  $\{\Delta \dot{\boldsymbol{\chi}}, \Delta \dot{\mathbf{S}}, \Delta \dot{\mathbf{D}}^0, \Delta \dot{\mathbf{E}}^0\}$  satisfies the incremental boundary-value problem. Application of the principle of virtual displacements and of the divergence theorem yields

$$\int_{\mathcal{B}^0 \cup \mathcal{B}^0_{\text{sur}}} \{\Delta \dot{\mathbf{S}} \cdot \Delta \dot{\mathbf{F}} + \Delta \dot{\mathbf{E}}^0 \cdot \Delta \dot{\mathbf{D}}^0\} \, dV^0 = 0. \tag{37}$$

Noting that  $\dot{\boldsymbol{\chi}}^{(2)} = \dot{\mathbf{D}}^{(02)} = \mathbf{0}$  represents a solution of the considered homogeneous incremental boundary-value problem, it follows from Eq. (37) that a *sufficient condition* excluding any bifurcation is

$$\int_{\mathcal{B}^0 \cup \mathcal{B}^0_{\text{sur}}} \{ \dot{\mathbf{S}}(t) \cdot \dot{\mathbf{F}} + \dot{\mathbf{E}}^0(t) \cdot \dot{\mathbf{D}}^0 \} \, dV^0 > 0 \tag{38}$$

for all admissible sets, where superscript <sup>(1)</sup> has been omitted for notational simplicity.

Since in the reference configuration, identified by t=0, condition (38) is certainly satisfied, a bifurcation along the loading path occurs as soon as the functional in (38) becomes positive semi-definite, with the equality holding for at least an admissible set called *primary eigenmode* and indicated by  $(\dot{\chi}_{cr}, \dot{\mathbf{D}}_{cr}^{0})$ , i.e.

$$\int_{\mathcal{B}^0 \cup \mathcal{B}^0_{\text{sur}}} \{ \dot{\mathbf{S}}_{cr}(t) \cdot \dot{\mathbf{F}}_{cr} + \dot{\mathbf{E}}^0_{cr}(t) \cdot \dot{\mathbf{D}}^0_{cr} \} \, dV^0 = 0, \tag{39}$$

where  $\dot{\mathbf{S}}_{cr}(t)$  and  $\dot{\mathbf{E}}_{cr}^{0}(t)$  are given by the incremental constitutive equations (17).

Although in this study we focus on infinitely extended solids, we note that condition (38) can be easily adapted to investigate dielectric bodies surrounded by vacuum [with boundary conditions specified by Eq. (16)].

We are now in the position to introduce and briefly discuss the four bifurcation criteria investigated in the paper.

• Loss of positive definiteness of the tangent electroelastic constitutive operator (PD). Let us start focusing on the pure mechanical problem, so that the critical bifurcation condition (39) specializes to  $\int_{\mathcal{B}^0} \dot{\mathbf{S}}(t) \cdot \dot{\mathbf{F}} dV^0 = 0$  for at least a  $\dot{\boldsymbol{\chi}} = \dot{\boldsymbol{\chi}}_{cr} \neq \mathbf{0}$ , while  $\int_{\mathcal{B}^0} \dot{\mathbf{S}}(t) \cdot \dot{\mathbf{F}} dV^0 > 0$  for all other admissible  $\dot{\boldsymbol{\chi}}$ . When only dead-load tractions are applied to the boundary (i.e.  $\partial \mathcal{B}_v^0 = \emptyset$ ) and  $\dot{\mathbf{F}}$  is a homogeneous field, for compressible materials the bifurcation condition can be rewritten as (Hill, 1967)  $\mathbb{C}^0(t)\dot{\mathbf{F}}\dot{\mathbf{F}} = 0$ , for at least a  $\dot{\mathbf{F}} = \dot{\mathbf{F}}_{cr} \neq \mathbf{0}$ , which corresponds to loss of positive definiteness of the fourth-order tangent constitutive tensor. Therefore, for a homogeneously deformed body with only tractions applied to its boundary, the global bifurcation condition reduces to a local condition that depends only on the principal equilibrium path.

Similarly, for a soft dielectric body homogenously deformed applying only dead-load tractions/charges to its boundary, introduction of the incremental constitutive equations (17) into the critical bifurcation condition (39) yields

$$\mathbb{C}^{0}(t)\dot{\mathbf{F}}\cdot\dot{\mathbf{F}}+2\mathbf{B}^{0}(t)\dot{\mathbf{D}}^{0}\cdot\dot{\mathbf{F}}+\mathbf{A}^{0}(t)\dot{\mathbf{D}}^{0}\cdot\dot{\mathbf{D}}^{0}=0 \quad \text{for at least a pair } (\dot{\mathbf{F}},\dot{\mathbf{D}}^{0})=(\dot{\mathbf{F}}_{cr},\dot{\mathbf{D}}_{cr}^{0})\neq\mathbf{0}, \tag{40}$$

when homogeneous  $\dot{\mathbf{F}}$  and  $\dot{\mathbf{D}}^0$  are considered. Therefore, bifurcation is predicted when positive definitiveness is lost by the quadratic form in Eq. (40), which can be identified as the 'tangent electroelastic constitutive operator'. For an incompressible material the incremental total first Piola–Kirchhoff stress tensor is given by (20)<sub>1</sub>, so that the bifurcation condition (40) modifies as

$$\mathbb{C}^{0}(t)\dot{\mathbf{F}}\cdot\dot{\mathbf{F}} + p(t)\operatorname{tr}[(\dot{\mathbf{F}}\mathbf{F}^{-1})^{2}] + 2\mathbf{B}^{0}(t)\dot{\mathbf{D}}^{0}\cdot\dot{\mathbf{F}} + \mathbf{A}^{0}(t)\dot{\mathbf{D}}^{0}\cdot\dot{\mathbf{D}}^{0} = 0$$
  
for at least a pair  $(\dot{\mathbf{F}},\dot{\mathbf{D}}^{0}) = (\dot{\mathbf{F}}_{cr},\dot{\mathbf{D}}_{cr}^{0}) \neq \mathbf{0}$  with  $\operatorname{tr}(\dot{\mathbf{F}}_{cr}\mathbf{F}^{-1}) = 0.$  (41)

The critical loading parameter t at which either condition (40) or (41) is satisfied will be denoted by  $t_{\rm PD}$ .

In Section 4.1.2 the formulation will be specialized to the case of a multilayered consisting of two phases whose response is described by the free energy (12).

• Existence of diffuse modes of bifurcations (microscopic modes). Diffuse buckling modes with a length scale comparable to the size of the heterogeneity may be explored investigating the propagation of small-amplitude perturbations of arbitrary wavelength superimposed on the current state of deformation (Dowaikh and Ogden, 1991; Triantafyllidis

and Lehner, 1993; Bertoldi et al., 2008; Gei, 2008). While a real natural frequency corresponds to a propagating wave, a complex natural frequency identifies a perturbation exponentially growing with time. Therefore, the transition between a stable and an unstable configuration identified by the critical loading parameter  $t_{\text{Micro}}$  is detected when the frequency vanishes and can be investigated using the quasi-static formulation of the incremental problem.

For the purely mechanical case the relation between the failure of Hill's condition (38) and the existence of zero-speed waves has been investigated in detail (see, i.e., Needleman, 1976; Triantafyllidis, 1980), showing that diffuse modes can be alternatively identified at the failure of the critical condition (39) expressing the admissible incremental deformations in Fourier series.

For periodic solids of infinite extent, Geymonat et al. (1993) (see also Triantafyllidis and Bardenhagen, 1996; Triantafyllidis et al., 2006) rigourously showed that in the pure mechanical case the same approach can be used and that it is sufficient to investigate the incremental boundary-value problem of the elementary unit cell of the composite and apply the Floquet–Bloch boundary conditions, which automatically introduce in the governing equations for the unit cell the information regarding the periodicity of the solid. As diffuse modes strongly depend on the microstructure of the unit cell, they are often called *microscopic* bifurcation modes. The results presented by Triantafyllidis and Maker (1985) were the first obtained for multilayered nonlinear composites applying this method. A relevant result of the study was the close relationship between onset of long-wavelength microscopic modes and localization of deformation of the homogenized continuum.

For electroelastic nonlinear solids, the investigation of zero-speed waves has been used by Dorfmann and Ogden (2010) to explore surface instability, revealing that the critical loading parameter is crucially dependent on the magnitude of the applied electric field and on the magnitudes of the electromechanical coupling parameters in the constitutive equations.

The *microscopic* bifurcation analysis for a periodic bilayered nonlinear dielectric solid under plane-strain conditions will be presented in Section 4.1.3.

• Loss of strong ellipticity of single phases and of the homogenized continuum (localized or macroscopic modes). Periodic perturbations do not represent an effective way to describe a critical bifurcation mode corresponding to fields localized along a narrow band with homogeneous deformation and electric field outside the band. In the pure mechanical case localized modes are identified with shear bands (Hill and Hutchinson, 1975) which appear at loss of positive definiteness of the acoustic tensor, also indicated as loss of strong ellipticity.<sup>5</sup>

In the mechanics of nonlinear composites Geymonat et al. (1993) showed that the loss of strong ellipticity for the homogenized properties coincides with the long-wavelength limit of microscopic diffuse modes, providing *macroscopic bifurcation modes*.

The investigation of *macroscopic* bifurcation modes at critical loading parameter  $t=t_{Macro}$  for soft multilayered dielectrics will be presented in Section 4.1.4.

• *Electric breakdown* (EB). When the electric field in a part of the system reaches a critical level, failure of the whole system may occur due to electric discharges. Similarly to Moscardo et al. (2008), the critical loading parameter  $t_{EB}$  corresponds to the attainment of the critical value of electric field,  $E_{EB}$ , in one phase.

## 4.1. Bifurcation analysis for multilayered composite soft dielectrics

In this Section the instability criteria outlined above are specialized to the case of an infinite, incompressible, multilayered body finitely deformed under plane-strain conditions. The solid consists of alternating identical and perfectly bonded incompressible layers of materials a and b with initial thicknesses  $h^{0a}$  and  $h^{0b}$  in the undeformed, stress-free configuration, which is used as the reference configuration.

Note that the formulation presented in the following part of this Section can be generalized to any number of layers and is valid for incompressible phases whose response is described by a free-energy function  $W(I_1, I_2, I_4, I_5, I_6)$  [see Section 2.4]. The results can be easily specialized to a specific material introducing the appropriate incremental moduli given by Eqs. (29).

#### 4.1.1. Fundamental pre-bifurcation path

Let us introduce a fixed cartesian coordinate system  $Ox_1^0x_2^0x_3^0$  with orthonormal basis vectors  $\mathbf{e}_i$  (*i*=1, 2, 3), and with  $x_2^0$  perpendicular to the direction of lamination in the reference configuration (see Fig. 3). A pure homogeneous plane-strain deformation in the  $x_1^0 - x_2^0$  plane is considered, so that the deformation is completely described by

$$x_1 = \lambda x_1^0, \quad x_2 = x_2^0 / \lambda, \quad x_3 = x_3^0,$$
 (42)

where  $\lambda$  is the stretch along direction  $x_1^0$ . Homogeneity and perfect bonding between layers require that all phases  $\rho$  share the same longitudinal stretch, namely  $\lambda^{\rho} = \lambda$  ( $\rho = a, b$ ).

<sup>&</sup>lt;sup>5</sup> In the pure mechanical case, a theorem due to van Hove (1947), proved for elasto-plasticity but easily adapted in elasticity, states that failure of strong ellipticity is the critical condition for bifurcation, Eq. (39), for a homogeneous body, homogeneously deformed, subject uniquely to incremental displacements on the boundary.



Fig. 3. Schematic representation of the composite under investigation.

The nominal electric displacement vector  $\mathbf{D}^0$  is taken to be aligned to the  $x_2^0$  direction and to be independent of  $x_1^0$ and  $x_{0}^{0}$ . This assumption is compatible, for instance, with electrodes placed at the external boundary of the multilayered solid and parallel to the direction of lamination. It follows from Eq. (5)<sub>3</sub> that  $D_2^0$  is constant throughout each phase, so that

$$D_1^0 = 0, \quad D_2^0 \neq 0, \quad D_3^0 = 0, \tag{43}$$

in the reference configuration, while in the current configuration

$$D_1 = D_3 = 0, \quad D_2 = D_2^0 / \lambda.$$
 (44)

Moreover, continuity of the electric displacement vector implies  $D_2^{0\rho} = D_2^0$  ( $\rho = a,b$ ). A consequence of the above assumptions is that the free-energy function *W* introduced in Section 2.4 depends only on  $\lambda$ and  $D_{2}^{0}$ ,  $W = \overline{W}(\lambda, D_{2}^{0})$ , and that the only non-zero in-plane stress components are related through

$$S_{11} - \frac{S_{22}}{\lambda^2} = \frac{\partial \overline{W}}{\partial \lambda}, \quad \tau_{11} - \tau_{22} = \lambda \frac{\partial \overline{W}}{\partial \lambda}. \tag{45}$$

Additionally, the normal component of the total stress is assumed to vanish along direction  $x_2^0$  throughout the body

$$S_{22} = 0, \quad \text{or} \quad \tau_{22} = 0,$$
 (46)

so that continuity of tractions at the interface between the two phases requires

$$S_{22}^{\rho} = 0$$
 ( $\rho = a, b$ ). (47)

Notice that condition (46) is often met in soft dielectric devices where the material can deform and expand under the action of attractive forces between electrodes. Thus, Eqs. (45) specialize to

$$S_{11} = \frac{\partial \overline{W}}{\partial \lambda}, \quad \tau_{11} = \lambda \frac{\partial \overline{W}}{\partial \lambda}, \tag{48}$$

while the nominal electric field is given by

$$E_2^0 = \frac{\partial \overline{W}}{\partial D_2^0}, \quad E_1^0 = E_3^0 = 0.$$
(49)

In each phase the solution of the plane-strain incremental problem must satisfy the updated field equations [see Eqs. (24)]

$$\Sigma_{11,1} + \Sigma_{12,2} = 0, \quad \Sigma_{21,1} + \Sigma_{22,2} = 0, \quad \hat{D}_{1,1} + \hat{D}_{2,2} = 0, \quad \hat{E}_{1,2} - \hat{E}_{2,1} = 0,$$
(50)

together with incremental jump conditions at all interfaces between layers given by (26).

#### 4.1.2. Loss of positive definiteness of the tangent electroelastic constitutive operator

For the considered principal equilibrium path loss of positive definiteness of the tangent electroelastic constitutive operator can be investigated using directly the constitutive Eqs. (48) and (49).

Small perturbations of stretch  $\lambda$  and electric displacement  $D_2^0$  modify the current stress and electric field as

$$\dot{S}_{11} = \frac{\partial^2 \overline{W}}{\partial \lambda^2} \dot{\lambda} + \frac{\partial^2 \overline{W}}{\partial \lambda \partial D_2^0} \dot{D}_2^0, \quad \dot{E}_2^0 = \frac{\partial^2 \overline{W}}{\partial \lambda \partial D_2^0} \dot{\lambda} + \frac{\partial^2 \overline{W}}{\partial (D_2^0)^2} \dot{D}_2^0. \tag{51}$$

The matrix associated with the linear system (51) is the Hessian of the free energy, which coincides with the tangent electroelastic stiffness at the current state. Eq. (51) represents the specialization of Eq. (20), with the electroelastic moduli tensors (18) replaced by partial derivatives of the free energy with respect to the independent variables  $\lambda$  and  $D_2^0$ .

Under dead-load tractions/charges applied to the whole boundary, uniqueness of the incremental response is ensured by positive definiteness of the Hessian, namely

$$\frac{\partial^2 \overline{W}}{\partial \lambda^2} > 0, \quad \frac{\partial^2 \overline{W}}{\partial \lambda^2} \frac{\partial^2 \overline{W}}{\partial (D_2^0)^2} > \left(\frac{\partial^2 \overline{W}}{\partial \lambda \partial D_2^0}\right)^2. \tag{52}$$

Failure of the former inequality corresponds to a possible mechanical instability, while failure of the latter condition, which involves both stretch and electric displacement, detects an electromechanical instability. Recently Zhao and Suo (2007, 2009) and Zhao et al. (2007) used a similar bifurcation criterion to investigate electromechanical instabilities in homogeneous three-dimensional actuators subjected to loading conditions similar to those described in Section 4.1.1. Their analyses revealed that the critical bifurcation point corresponds to a peak in the  $D_2^0 - E_2^0$  curve.

We remark that for composites the bifurcation conditions (52) may be critical either for a single phase or for the homogenized continuum.

## 4.1.3. Existence of diffuse-mode bifurcations (microscopic modes)

Here diffuse modes corresponding to inhomogeneous response of the composite with wavelengths (given by  $2\pi/k_1$ , where  $k_1$  is the wavenumber) on the same order of the characteristic length of the heterogeneities are investigated applying the Bloch-Floquet quasi-periodicity condition. Moreover, the limit case of long-wavelength limit  $(k_1 \rightarrow 0)$  is analytically studied, allowing investigation of the relation between microscopic and macroscopic instabilities.

To solve the incremental boundary-value problem given by Eqs. (50) in each phase  $\rho$ , we seek solutions in the form

$$\mathbf{u}^{\rho}(x_{1},x_{2}) = v^{\rho}(x_{2})\exp[ik_{1}x_{1}], \quad \dot{p}^{\rho}(x_{1},x_{2}) = q^{\rho}(x_{2})\exp[ik_{1}x_{1}], \quad \mathbf{\dot{D}}^{\rho}(x_{1},x_{2}) = \Delta^{\rho}(x_{2})\exp[ik_{1}x_{1}].$$
(53)

The incompressibility constraint requires

$$ik_1v_1 + v_2' = 0,$$
 (54)

whereas Eq.  $(50)_3$  implies

$$\mathbf{i}k_1\Delta_1 + \Delta_2' = \mathbf{0},\tag{55}$$

where the dependency of v and  $\Delta$  on  $x_2$  and  $\rho$  has been omitted for notational simplicity and  $(\cdot)' = d(\cdot)/dx_2$ .

Substitution of Eqs. (53)-(55) into Eqs.  $(50)_{1,2}$  and  $(50)_4$  leads to the following system of ordinary differential equations in each phase  $\rho$ 

$$-ik_{1}q + k_{1}^{2}(C_{1122} + C_{1221} - C_{1111})\upsilon_{1} + C_{1212}\upsilon_{1}^{\prime} + ik_{1}B_{112}\varDelta_{2} + B_{121}\varDelta_{1}^{\prime} = 0,$$
  
$$iq' + ik_{1}^{2}C_{2121}\upsilon_{2} + (C_{1221} + C_{1122} - C_{2222})k_{1}\upsilon_{1}^{\prime} + k_{1}(B_{121} - B_{222})\varDelta_{1} = 0,$$

$$B_{121}v_1'' + (B_{112} + B_{121} - B_{222})k_1^2v_1 + A_{11}\Delta_1' - iA_{22}k_1\Delta_2 = 0.$$
(56)

Displacement continuity at the interface  $[Eq. (26)_1]$  reduces to

$$[v_1] = 0, [v_2] = 0,$$
 (57)

whereas traction continuity [Eq. (26)<sub>2</sub>] implies

. \_ .

. .

- - - 0

 $[B_{121}\varDelta_1 + ik_1(C_{1221} + p)\upsilon_2 + C_{1212}\upsilon'_1] = 0, [B_{222}\varDelta_2 + ik_1(C_{1122} - C_{2222} - p)\upsilon_1 - q] = 0.$ 

Electrostatic interface conditions  $(26)_3$  and  $(26)_4$  are given by

. . .

$$\llbracket \Delta_2 \rrbracket = 0, \quad \llbracket A_{11}\Delta_1 + B_{121}v_1' + iB_{121}k_1v_2 \rrbracket = 0.$$
<sup>(58)</sup>

In each layer the general solution to Eqs. (56) is found to be the sum of six linearly independent partial solutions

$$\mathbf{y}(x_2) = \mathbf{W}^a \exp[k_1 \mathbf{Z}^a x_2] \mathbf{a}^- \quad (0 < x_2 < h^a),$$
$$\mathbf{y}(x_2) = \mathbf{W}^b \exp[k_1 \mathbf{Z}^b x_2] \mathbf{b} \quad (h^a < x_2 < h^b),$$

$$\mathbf{y}(x_2) = \mathbf{W}^a \exp[k_1 \mathbf{Z}^a x_2] \mathbf{a}^+ (h^b < x_2 < h^a + h^b),$$

where  $\mathbf{Z}^{\rho} = \operatorname{diag} \mathbf{z}^{\rho}$ ,  $\mathbf{a}^{-}$ ,  $\mathbf{a}^{+}$  and  $\mathbf{b}$  are vectors of unknown constants and  $\mathbf{y}^{\rho} = [v_{1}^{\rho} (v_{1}^{\rho}) v_{2}^{\rho} \Delta_{1}^{\rho} \Delta_{2}^{\rho} q^{\rho}]$ . Vector  $\mathbf{z}^{\rho}$  and matrix  $\mathbf{W}^{\rho}$  contain the eigenvalues and eigenvectors of the 6 × 6 matrix  $\mathbf{V}^{\rho}$  with non-zero entries

$$V_{12} = 1, \quad V_{21} = \frac{k_1^2}{d_1} [B_{121}(B_{222} - B_{112} - B_{121}) + A_{11}(C_{1122} + C_{1221} - C_{1111})], \quad V_{25} = \frac{ik_1}{d_1} (A_{11}B_{112} + A_{22}B_{121}),$$

$$V_{26} = -\frac{ik_1A_{11}}{d_1}, \quad V_{31} = -ik_1, \quad V_{41} = \frac{k_1^2}{d_1} [C_{1212}(B_{112} - B_{222}) + B_{121}(C_{1111} - C_{1122} + C_{1212} - C_{1221})],$$

$$V_{45} = -\frac{ik_1}{d_1} (B_{121}B_{112} + A_{22}C_{1212}), \quad V_{46} = \frac{ik_1B_{121}}{d_1}, \quad V_{54} = -ik_1, \quad V_{62} = ik_1(C_{1221} + C_{1122} - C_{2222}),$$

$$V_{63} = -k_1^2C_{2121}, \quad V_{65} = ik_1(B_{121} - B_{222}),$$
(60)

with  $d_1 = B_{121}^2 - A_{11}C_{1212}$ .

The quasi-periodic character of the solution along  $x_2$  is ensured imposing of the Bloch-Floquet type relation

$$\mathbf{y}^{\rho}(x_2+h) = \mathbf{y}^{\rho}(x_2) \exp[ik_2h], \quad (h = h^a + h^b), \tag{61}$$

(59)

with  $k_2$  lying in the unit cell of the reciprocal lattice, i.e.  $0 \le k_2 < 2\pi/h$ . The wavenumber  $k_2$  is frequently termed 'Bloch parameter' and sets the shape of modes along the transverse direction. Substituting Eq. (59) into Eq. (57), (58) and (61) is found that a non-trivial solution to the problem exists when

$$\det(\mathbf{K} - \exp[ik_2h]\mathbf{I}) = \mathbf{0},\tag{62}$$

with

$$\mathbf{K} = (\mathbf{G}^a)^{-1} \mathbf{G}^b \exp[\mathbf{i} \mathbf{Z}^b h^b] (\mathbf{G}^b)^{-1} \mathbf{G}^a \exp[\mathbf{i} \mathbf{Z}^a h^a], \quad \mathbf{G}^\rho = \mathbf{Q}^\rho \mathbf{W}^\rho, \tag{63}$$

 $\mathbf{Q}^{\rho}$  being a 6 × 6 matrix with the following non-zero components:

$$\begin{array}{ll} Q_{11} = Q_{23} = Q_{55} = -Q_{46} = 1, & Q_{32} = C_{1212}, & Q_{33} = ik_1(C_{1221} + p), & Q_{34} = B_{121}, \\ Q_{41} = ik_1(C_{1122} + C_{2222} - p), & Q_{45} = B_{222}, & Q_{62} = B_{121}, & Q_{63} = ik_1B_{121}, & Q_{64} = A_{11}. \end{array}$$

It follows from Eq. (62) that the critical loading parameter at the onset of the bifurcation point ( $t_{\text{Micro}}$ ) corresponds to the first occurrence of an eigenvalue  $\Lambda = \exp[ik_2h]$  of unitary magnitude for the matrix **K**. Since det **K** = 1 and tr **K** = tr **K**<sup>-1</sup>, the eigenvalues of **K** can be calculated from

$$\Lambda^{6} - \operatorname{tr} \mathbf{K} (\Lambda^{5} + \Lambda) + \frac{1}{2} [\operatorname{tr} \mathbf{K}^{2} - (\operatorname{tr} \mathbf{K})^{2}] (\Lambda^{4} + \Lambda^{2}) - \frac{1}{6} [(\operatorname{tr} \mathbf{K})^{3} - 3\operatorname{tr} \mathbf{K} (\operatorname{tr} \mathbf{K})^{2} + 2\operatorname{tr} \mathbf{K}^{3}] \Lambda^{3} + 1 = 0.$$
(64)

The critical eigenmode is determined observing that the constant vector  $\mathbf{a}^-$  appearing in Eq. (59) corresponds to the critical eigenvector of **K**, whereas  $\mathbf{a}^+$  and  $\mathbf{b}$  are obtained introducing Eq. (59) into Eqs. (57), (58) and (61),

$$\mathbf{a}^{+} = \exp[ik_{2}h]\exp[-k_{1}\mathbf{Z}^{a}h]\mathbf{a}^{-}, \quad \mathbf{b} = \exp[ik_{2}h]\exp[-k_{1}\mathbf{Z}^{b}h](\mathbf{G}^{b})^{-1}\mathbf{G}^{a}\mathbf{a}^{-}.$$

The long-wavelength limit. The long wavelength limit  $k_1 \rightarrow 0$  is now considered to establish a relation between microscopic and macroscopic instabilities. Here we extend the formulation of Triantafyllidis and Maker (1985) for the pure mechanical case and perform a matrix series expansion of  $\mathbf{K}$  – defined in Eq. (63) – in powers of  $\overline{k}_1 = k_1 h$ . Collection of the terms of the same order yields

$$\operatorname{tr} \mathbf{K} = 6 + \xi_1 \overline{k}_1^2 + \xi_2 \overline{k}_1^4 + \xi_3 \overline{k}_1^6, \quad \operatorname{tr} \mathbf{K}^2 = 6 + 4\xi_1 \overline{k}_1^2 + (16\xi_2 + \xi_4) \overline{k}_1^4 + (64\xi_3 + \xi_5) \overline{k}_1^6,$$

$$\operatorname{tr} \mathbf{K}^3 = 6 + 9\xi_1 \overline{k}_1^2 + (81\xi_2 + 6\xi_4) \overline{k}_1^4 + (729\xi_3 + \xi_6) \overline{k}_1^6, \tag{65}$$

where coefficients  $\xi_i$  (*i* = 1, ..., 6) are given in Appendix B.

Substituting Eqs. (65) into Eq. (64) and recalling that  $\Lambda = \exp[ik_2h]$  we obtain

$$-\xi_1^3 + 36\xi_1\xi_2 - 720\xi_3 + 3\xi_1\xi_4 + 12\xi_5 - 2\xi_6 + 3(\xi_4 + 12\xi_2 - \xi_1^2)\psi^2 - 6\xi_1\psi^4 - 6\psi^6 = 0,$$
(66)

with  $\psi = k_2/k_1$ . Therefore, a long wavelength bifurcation mode exists when a real solution for Eq. (66) is found. For the purely mechanical case, Geymonat et al. (1993) rigorously showed the equivalence between long wavelength bifurcation modes and localized, *macroscopic instability*. Here, in Section 5, the solutions of Eq. (66) will be compared with those for localized modes of the homogenized continuum, allowing us to determine a clear relationship between microscopic and macroscopic instabilities.

## 4.1.4. Loss of strong ellipticity of single phases and of the homogenized continuum (localized or macroscopic modes)

Bifurcation modes consisting of localized fields in a narrow band surrounded by homogeneous electroelastic deformation are now investigated. In general, for composites, this instability may be critical either for each single phase or for the homogenized continuum. As previously recalled, for the latter case, it can be related to long wavelength diffuse modes.

The analysis represents an extension of the formulation presented by Hill and Hutchinson (1975) for incompressible materials and can be used for all isotropic, elastic dielectrics that are deformed according to Eqs. (42) and (43).

The solution for the field equations (50) is sought in the form

$$\mathbf{u} = \tilde{v}f(\mathbf{n}\cdot\mathbf{x}), \quad \dot{p} = \tilde{q}f'(\mathbf{n}\cdot\mathbf{x}), \quad \hat{\mathbf{D}} = \tilde{\Delta}f'(\mathbf{n}\cdot\mathbf{x}), \tag{67}$$

where *f* is a continuous and piecewise continuous differentiable function and **n** is the normal to the band. Incompressibility and Eq.  $(50)_3$  require that

$$\tilde{\upsilon} \cdot \mathbf{n} = \mathbf{0}, \quad \tilde{\Delta} \cdot \mathbf{n} = \mathbf{0}, \tag{68}$$

so that for the plane-strain problem under consideration the amplitude vectors  $\tilde{v}$  and  $\tilde{\Delta}$  are related through

 $\tilde{\Delta} = \eta \tilde{v},$ 

 $\eta$  being a real parameter.

To obtain the localization condition in a homogeneous phase of the composite, Eqs. (28)<sub>2</sub>, (30), (67), (68)<sub>1</sub> and (69) are substituted in the incremental field  $(50)_{1,2,4}$ , providing four equations in  $\tilde{q}$ ,  $\eta$ ,  $\tilde{v}_1$  and  $\tilde{v}_2$ . Elimination of the first three

(69)

unknowns yields the condition of band localization as

$$\Gamma_6 v^6 + \Gamma_4 v^4 + \Gamma_2 v^2 + \Gamma_0 = 0, \tag{70}$$

where  $v = n_2/n_1$  and we have assumed  $n_1 \neq 0$  and  $v^2 A_{11} + A_{22} \neq 0$ . Coefficients  $\Gamma_i$  (i = 0, 2, 4, 6) depend on the incremental moduli as

$$\begin{split} &\Gamma_6 = B_{211}^2 - A_{11}C_{1212}, \\ &\Gamma_4 = -A_{22}C_{1212} - A_{11}(C_{1111} - 2C_{1122} - 2C_{2112} + C_{2222}) - 2B_{211}(B_{112} + B_{211} - B_{222}), \\ &\Gamma_2 = -A_{11}C_{2121} - A_{22}(C_{1111} - 2C_{1122} - 2C_{2112} + C_{2222}) + (B_{112} + B_{211} - B_{222})^2, \\ &\Gamma_0 = -A_{22}C_{2121}. \end{split}$$

Therefore, localization of the deformation into a band occurs when a real solution  $v_*$  of Eq. (70) exists. In this case the amplitude ratio  $\eta$  in Eq. (69) is given by

$$\eta = \frac{v_*(B_{112} + B_{211} - B_{222}) - v_*^3 B_{211}}{v_*^2 A_{11} + A_{22}}.$$
(71)

For the homogenized continuum, the procedure can be repeated employing the pertinent homogenized constitutive moduli.

#### 4.1.5. Electric breakdown

Electric breakdown represents a possible failure mode that occurs when the current electric field reaches a critical value  $E_{EB}$  in one of the phases of the composite. Since for the specific geometry and loading conditions considered here the only non-zero component of the electric field is that along direction  $x_2$ , electric breakdown takes place at a critical loading parameter  $t_{EB}$  when

$$E_2^{\rho} = E_{EB},$$

either in phase *a* or *b*.

#### 5. Results

#### 5.1. Material properties and constitutive laws

In the following sections results are presented for dielectric bodies whose response is captured using the extended neo-Hookean free energy given by Eq. (12). For this specific material the constitutive equations (9) and (10) specialize to

$$\mathbf{S} = -p\mathbf{F}^{-T} + \mu\mathbf{F} + \frac{1}{\varepsilon}\mathbf{F}\mathbf{D}^0 \otimes \mathbf{D}^0, \quad \mathbf{E}^0 = \frac{1}{\varepsilon}\mathbf{C}\mathbf{D}^0$$
(72)

in the Lagrangian formulation and

$$\boldsymbol{\tau} = -p\mathbf{I} + \mu\mathbf{B} + \frac{1}{\varepsilon}\mathbf{D}\otimes\mathbf{D}, \quad \mathbf{E} = \frac{1}{\varepsilon}\mathbf{D}$$
(73)

in the Eulerian formulation,<sup>6</sup> a result fully consistent with the general Eqs. (45) and (49); Eq. (73)<sub>2</sub> clearly shows the linear proportionality between **D** and **E**.

The incremental moduli are obtained substituting the free energy (12) into Eqs. (18), yielding<sup>7</sup>

$$C^0_{ijkL} = \mu \delta_{ik} \delta_{jL} + \frac{1}{\varepsilon} \delta_{ik} D^0_j D^0_L, \tag{74}$$

<sup>6</sup> We remark that in (72)<sub>1</sub> and (73)<sub>1</sub> the hydrostatic pressure *p* is calculated imposing the boundary conditions. Therefore, *p* can be replaced by an equivalent expression, such as  $p = \tilde{p} + \mathbf{E} \cdot \mathbf{E}/2$ , so that Eq. (73)<sub>1</sub> can be reformulated as

$$\boldsymbol{\tau} = -\tilde{p}\mathbf{I} + \mu\mathbf{B} + \frac{1}{\varepsilon}\mathbf{D}\otimes\mathbf{D} - \frac{1}{2\varepsilon}\mathbf{D}\cdot\mathbf{D}$$

an expression equivalent to that reported by Zhao et al. (2007) in their Eq. (26) and obtained adapting the compressible theory of nonlinear dielectrics to the incompressible case.

<sup>7</sup> Eqs. (74) and (75) are obtained using the derivatives of the invariants  $I_1$ ,  $I_5$  with respect to **F** and **D**<sup>0</sup>

$$\frac{\partial l_1}{\partial \mathbf{F}} = 2\mathbf{F}, \quad \frac{\partial l_5}{\partial \mathbf{F}} = 2\mathbf{D} \otimes \mathbf{D}^0, \quad \frac{\partial l_5}{\partial \mathbf{D}^0} = 2\mathbf{C}\mathbf{D}^0, \quad \left(\frac{\partial l_5}{\partial \mathbf{F} \partial \mathbf{D}^0}\right)_{ijK} = 2\partial_{jK}F_{iR}D_R^0 + 2D_j^0F_{iK}.$$

$$A_{MN}^{0} = \frac{1}{\varepsilon} C_{MN}, \quad B_{iJM}^{0} = \frac{1}{\varepsilon} (F_{iM} D_{J}^{0} + F_{iS} D_{S}^{0} \delta_{JM}), \tag{75}$$

while the use of Eqs. (29) provides the updated constitutive tensors

$$C_{iqkp} = \mu \delta_{ik} B_{pq} + \frac{1}{\varepsilon} \delta_{ik} D_p D_q, \quad B_{iqa} = \frac{1}{\varepsilon} (\delta_{ia} D_q + D_i \delta_{qa}), \quad A_{ab} = \frac{1}{\varepsilon} \delta_{ab}.$$

For the geometry and loading conditions described in Sections 4.1.1, it follows from Eq. (72) that the only non-zero components of the total first Piola–Kirchhoff stress and nominal electric field are

$$S_{11} = \mu \lambda - \frac{p}{\lambda}, \quad S_{22} = \frac{\mu}{\lambda} + \frac{(D_2^0)^2}{\lambda \varepsilon} - p\lambda, \quad S_{33} = \mu - p, \quad E_2^0 = \frac{D_2^0}{\lambda^2 \varepsilon},$$
 (76)

whereas the only non-vanishing in-plane entries of the updated incremental constitutive tensors are

$$C_{1111} = C_{2121} = \mu\lambda^2, \quad C_{2222} = C_{1212} = \mu\frac{1}{\lambda^2} + \frac{1}{\varepsilon}D_2^2,$$
$$B_{121} = B_{211} = \frac{1}{\varepsilon}D_2, \quad B_{222} = \frac{2}{\varepsilon}D_2, \quad A_{11} = A_{22} = \frac{1}{\varepsilon}.$$

Note that the hydrostatic pressure in Eqs.  $(76)_{1-3}$  can be determined using Eq. (46), yielding

$$p=\mu\frac{1+\overline{D}^2}{\lambda^2},$$

so that the longitudinal stress  $S_{11}$  is given by

$$S_{11} = \mu \left( \lambda - \frac{1 + \overline{D}^2}{\lambda^3} \right), \tag{77}$$

with  $\overline{D} = D_2^0 / \sqrt{\mu \epsilon}$ .

To illustrate the effect of the material parameters  $\mu$  and  $\varepsilon$  on the electromechanical response of a homogeneous layer, we focus on a sample subjected to a given longitudinal nominal stress  $S_{11}$ . In this case the stretch  $\lambda$  can be obtained from Eq. (77) as a function of the electric displacement  $D_2^0$  and then can be used to calculate the electric field from Eq. (76)<sub>4</sub>.

In Fig. 4 the behavior of two specimens whose response is characterized by two sets of parameters,  $\{\mu_1, \varepsilon_1\}$  and  $\{\mu_2, \varepsilon_2\}$  with  $\mu_2/\mu_1 = \varepsilon_2/\varepsilon_1 = 10$ , are reported. Focusing on the case for  $\{\mu_1, \varepsilon_1\}$ , Fig. 4a shows that the  $\lambda - D_2^0$  curve can be decomposed into three parts: an initial quadratic response is followed by a linear behavior for moderate values of  $D_2^0$ , while  $\lambda \sim \sqrt{D_2^0}$  for  $D_2^0/\sqrt{\mu_1\varepsilon_1} > 4$ . Moreover, we observe that the response for the specimen characterized by  $\{\mu_2, \varepsilon_2\}$  is qualitatively similar to that for  $\{\mu_1, \varepsilon_1\}$ . However, for the material characterized by  $\{\mu_2, \varepsilon_2\}$  an electric displacement hundred times larger than that for  $\{\mu_1, \varepsilon_1\}$  must be applied to reach a given stretch  $\lambda$ . In Fig. 4b the evolution of the dimensionless nominal electric field is reported as a function of the applied electric displacement. As expected from Eq. (76)<sub>4</sub>, at a given electric displacement  $D_2^0$  a high electric field is reached in the material with lower permittivity. Interestingly, for applied compressive longitudinal tractions (see curve for  $S_{11}/\mu_1 = -1$ ) the nominal electric field curve displays a maximum. The peak corresponds to loss of positive definiteness of the tangential electroelastic constitutive operator and occurs at failure of condition (52)<sub>2</sub>. However, in a longitudinally compressed layer a buckling instability may occur earlier along the loading path, preventing the structure to reach the peak.

## 5.2. Homogenized response

Here the general results obtained in Section 3 for rank-one layered dielectrics are specialized to the extended neo-Hookean free energy (12). Initially we consider the case of layers with an arbitrary inclination with respect to the external electric displacement ( $\mathbf{n}^0 \neq \mathbf{e}_2$ , Fig. 2). Then the results are further specialized to the specific geometry and loading conditions considered in this work, characterized by layers perpendicular to the electric displacement field ( $\mathbf{n}^0 = \mathbf{e}_2$ , Fig. 3).

For the case of a laminated body with layers arbitrarily oriented with respect to the electric displacement field and whose response is described by the free energy (12), parameters  $\alpha$  and  $\beta$  introduced in Eq. (36) are given by

$$\alpha = \frac{\mu^b - \mu^a}{c^a \mu^b + c^b \mu^a} \frac{\mathbf{F}^{av} \mathbf{n}^0 \cdot \mathbf{F}^{av} \mathbf{m}^0}{\mathbf{F}^{av} \mathbf{m}^0 \cdot \mathbf{F}^{av} \mathbf{m}^0}, \quad \beta = \frac{\mu^a \varepsilon^a - \mu^b \varepsilon^b}{(c^a \mu^b + c^b \mu^a)(c^a \varepsilon^a + c^b \varepsilon^b)} \frac{\mathbf{F}^{av} \mathbf{D}^0 {}^{av} \cdot \mathbf{F}^{av} \mathbf{m}^0}{\mathbf{F}^{av} \mathbf{m}^0 \cdot \mathbf{F}^{av} \mathbf{m}^0} + \frac{\mu^b - \mu^a}{c^a \mu^b + c^b \mu^a} \mathbf{D}^0 {}^{av} \cdot \mathbf{m}^0. \tag{78}$$



**Fig. 4.** Electromechanical behavior of a homogeneous layer deformed under plane strain by the application of a transverse electric displacement field  $D_2^0$  and a longitudinal nominal traction  $S_{11}$ . The material response is captured using the extended neo-Hookean free energy (12) and  $\mu_2/\mu_1 = \varepsilon_2/\varepsilon_1 = 10$ .

The jump in hydrostatic pressure across each interface is obtained by multiplying the traction continuity condition  $([ S ] n^0 = 0)$  with the vector  $(F^{av})^{-7}n^0$ , yielding

$$p^{a}-p^{b} = \left[\frac{\varepsilon^{b}-\varepsilon^{a}}{\varepsilon^{b}\varepsilon^{a}}(\mathbf{D}^{0} \cdot \mathbf{n}^{0})^{2} + \mu^{a}-\mu^{b}\right]\frac{1}{(\mathbf{F}^{av})^{-T}\mathbf{n}^{0}\cdot(\mathbf{F}^{av})^{-T}\mathbf{n}^{0}}.$$
(79)

Results given in Eqs. (78) and (79) are consistent with those obtained by deBotton (2005) for neo-Hookean rank-one laminates finitely deformed by the application of only mechanical loadings.

For the geometry and loading conditions described in Section 4.1.1 (i.e.  $\mathbf{n}^0 = \mathbf{e}_2$  and  $\mathbf{m}^0 = \mathbf{e}_1$ ), the only non-vanishing components of the homogenized stress and electric field obtained using Eqs. (32)<sub>2.3</sub> are

$$S_{11}^{av} = \mu^{av} \lambda - \frac{\mu^{av}}{\lambda^3} [1 + (\overline{D}^{av})^2], \quad E_2^{0 av} = \sqrt{\frac{\mu^{av}}{\varepsilon^{Hav}}} \frac{\overline{D}^{av}}{\lambda^2}, \tag{80}$$

where

$$\mu^{av} = c^a \mu^a + c^b \mu^b, \quad \varepsilon^{Hav} = \left(\frac{c^a}{\varepsilon^a} + \frac{c^b}{\varepsilon^b}\right)^{-1}, \quad \overline{D}^{av} = \frac{D_0^2}{\sqrt{\mu^{av}\varepsilon^{Hav}}}.$$
(81)

The analysis of macroscopic modes for the composite requires the macroscopic incremental constitutive tensors  $\mathbb{C}^{av}$ ,  $\mathbf{B}^{av}$ , and  $\mathbf{A}^{av}$ . It follows from the introduction of (78) in Eqs. (34) and (35) and the use of (32), (20) and (29) that their only in-plane non-zero components are

$$C_{1111}^{av} = \lambda^2 \mu^{av}, \quad C_{1212}^{av} = \frac{\mu^{Hav}}{\lambda^2} + \frac{(\overline{D}^{av})^2 \varepsilon^{Hav} \mu^{av}}{\varepsilon^{av} \lambda^2}, \quad C_{2222}^{av} = \frac{\mu^{av}}{\lambda^2} + \frac{(\overline{D}^{av})^2 \mu^{av}}{\lambda^2}, \tag{82}$$

$$C_{1221}^{av} = C_{2112}^{av} = \frac{\mu^{Hav} - \mu^{av}}{\lambda^2} + \frac{(\overline{D}^{av})^2 \mu^{av}}{\lambda^2} \frac{\varepsilon^{Hav} - \varepsilon^{av}}{\varepsilon^{av}}, \quad C_{2121}^{av} = C_{1221}^{av} + C_{1111}^{av},$$

$$B_{211}^{av} = B_{121}^{av} = \frac{\overline{D}^{av} \sqrt{\mu^{av} \varepsilon^{Hav}}}{\varepsilon^{av} \lambda}, \quad B_{222}^{av} = 2 \frac{\overline{D}^{av}}{\lambda} \sqrt{\frac{\mu^{av}}{\varepsilon^{Hav}}}, \quad A_{11}^{av} = \frac{1}{\varepsilon^{av}}, \quad A_{22}^{av} = \frac{1}{\varepsilon^{Hav}}, \quad (83)$$

where

$$\varepsilon^{av} = c^a \varepsilon^a + c^b \varepsilon^b$$
 and  $\mu^{Hav} = \left(\frac{c^a}{\mu^a} + \frac{c^b}{\mu^b}\right)^{-1}$ . (84)

On the other hand, the investigation of loss of positive definiteness of the tangent electroelastic operator requires the specialization of the macroscopic free energy (36) to the specific geometry and loading conditions considered here, leading to

$$W^{\rm av} = \overline{W}^{\rm av}(\lambda, D_2^0) = \frac{\mu^{\rm av}}{2} \left[ \lambda^2 + \frac{(\overline{D}^{\rm av})^2 + 1}{\lambda^2} - 2 \right].$$
(85)

To illustrate the effect of phases volume fraction and contrast in material properties on the overall electromechanical response, we investigate the behavior of a multilayered dielectric deformed by the application of an electric displacement field perpendicular to the layers, while leaving the body free to expand laterally (i.e.  $S_{11}^{au}=0$ ). The analysis is fully

characterized by the dimensionless material parameters

$$m = \mu^a / \mu^b$$
 and  $r = \varepsilon^a / \varepsilon^b$ . (86)

$$\overline{D}^{b} = D_{2}^{0} / \sqrt{\mu^{b} \varepsilon^{b}} = \sqrt{\frac{\mu^{av}}{c^{b} \mu^{b} + \frac{c^{a} \mu^{a}}{mr}}} \overline{D}^{av}.$$
(87)

We note that in both Figs. 5a and b for  $c^a=0$  the curve corresponds to that for  $\{\mu_1, \varepsilon_1\}$  in Fig. 4a, as in this case the solid is composed uniquely of the soft phase. The effect of phases volume fraction on the material response is investigated for two sets of material parameters, m=r=10 in Fig. 5a and m=r=100 in Fig. 5b. For the geometry considered in this study an increase of parameters *m* and *r* is found to strongly reduce the longitudinal elongation.

## 5.3. Nonlinear fundamental electromechanical paths

Although a generic state of deformation described by the pair  $(\lambda, D_2^0)$  can be reached following multiple loading histories, here we focus on two fundamental nonlinear electromechanical paths:

- *Path A*: a uniaxial macroscopic stress  $S_{11}^{av} = \tilde{S}_{11}^{av}$  is applied and kept constant while increasing the external electric displacement field (Fig. 6a).
- *Path B*: a stretch  $\lambda = \tilde{\lambda}$  is applied and kept constant while increasing the external electric displacement field (Fig. 6b).

Here these two nonlinear pre-bifurcation paths are studied assuming a material response defined by the free energy (12), so that stress and electrical displacement fields in each phase of the multilayered body are given by Eqs. (76).

Path A. A constant longitudinal stress  $S_{11}^{av} = \tilde{S}_{11}^{av}$  is applied to the multilayered structure, so that

$$\tilde{S}_{11}^{av} = c^a \tilde{S}_{11}^a + c^b \tilde{S}_{11}^b, \tag{88}$$

where  $\tilde{S}_{11}^a$  and  $\tilde{S}_{11}^b$  are obtained from Eq. (77) as

$$\tilde{S}_{11}^{a} = \mu^{a} \left( \lambda - \frac{1 + (\overline{D}^{b})^{2} / m r}{\lambda^{3}} \right), \quad \tilde{S}_{11}^{b} = \mu^{b} \left( \lambda - \frac{1 + (\overline{D}^{b})^{2}}{\lambda^{3}} \right).$$
(89)

Substitution of Eq. (89) in Eq. (88) provides an equation that can be solved for the unknown stretch  $\lambda$ . The longitudinal stress in each phase,  $\tilde{S}_{11}^a$  and  $\tilde{S}_{11}^b$ , respectively, that in general changes along the deformation path, can then be obtained by introducing  $\lambda$  into Eqs. (89). Moreover, the nominal electric fields in the two phases follow from Eq. (76)<sub>4</sub> and can be written in dimensionless form as

$$\overline{E}^{a} = E_{2}^{0 a} \sqrt{\frac{\varepsilon^{a}}{\mu^{a}}} = \frac{\overline{D}^{b}}{\lambda^{2} \sqrt{mr}}, \quad \overline{E}^{b} = E_{2}^{0 b} \sqrt{\frac{\varepsilon^{b}}{\mu^{b}}} = \frac{\overline{D}^{b}}{\lambda^{2}}$$

*Path B.* Devices based on soft dielectrics are usually prestretched before the application of the electric field, since it has been observed experimentally that the prestretch increases considerably the performance of the actuator, mainly increasing the maximum electric field before breakdown (Pelrine et al., 2000). Here a longitudinal prestretch



**Fig. 5.** Overall  $\overline{D}^b - \lambda$  response (with  $\overline{D}^b = D_2^0/\sqrt{\mu^b \varepsilon^b}$ ) for a periodic bilayered dielectric when an electric displacement field perpendicular to the layers is applied while leaving the body free to expand laterally (i.e.  $S_{11}^{a_1} = 0$ ).



Fig. 6. Schematic representation of the two loading paths considered in this study: Path A (a) and Path B (b).

 $\lambda = \tilde{\lambda}$  (with  $\tilde{\lambda} > 1$ ) is applied to the multilayer and, since  $S_{22}=0$  throughout the solid, the stress component  $S_{11}$  associated with the prestretch in the two phases is given by

$$S_{11}^{\mathrm{pre},a} = \mu^a \left( \tilde{\lambda} - \frac{1}{\tilde{\lambda}^3} \right), \quad S_{11}^{\mathrm{pre},b} = \mu^b \left( \tilde{\lambda} - \frac{1}{\tilde{\lambda}^3} \right).$$

Subsequently an increasing electric displacement  $D_2$  is applied (note that  $D_2 = D_2^0$  as the current stretch does not vary due to incompressibility), so that the longitudinal stresses change as

$$S_{11}^{a} = S_{11}^{\text{pre},a} - \frac{D_{2}^{2}}{\tilde{\lambda}^{3} \varepsilon^{a}}, \quad S_{11}^{b} = S_{11}^{\text{pre},b} - \frac{D_{2}^{2}}{\tilde{\lambda}^{3} \varepsilon^{b}}.$$

The electric excitation introduces a compressive stress in the bilayer, so that  $S_{11}^a$  and  $S_{11}^b$  drop to zero when  $D_2$  reaches the intensities  $D_2^{s0a}$  and  $D_2^{s0b}$ , respectively, where

$$D_2^{s0a} = \sqrt{\mu^a \varepsilon^a (\tilde{\lambda}^4 - 1)}, \quad D_2^{s0b} = \sqrt{\mu^b \varepsilon^b (\tilde{\lambda}^4 - 1)}.$$

Note that when  $D_2 > \min\{D_2^{s0a}, D_2^{s0b}\}$  part of the system is compressed and this may lead to a buckling instability. Although traditionally buckling instabilities have been viewed as an inconvenience, recently they have been exploited to design actuators able to sustain transverse large displacements (Carpi et al., 2008c; Koo et al., 2008).

## 5.4. Analysis of instabilities

Loss of uniqueness of the incremental response is now investigated for biphasic periodic multilayers whose response is described by the extended neo-Hookean free energy (12), so that the formulation presented in Section 4 specializes as follows:

• Loss of positive definiteness of the tangent electroelastic constitutive operator (PD). This criterion is applicable to structures with only tractions/surface charges applied to their boundary, so that it represents a critical condition only for multilayers loaded following type-A loading paths, since along type-B loading paths displacements are prescribed on portion of the boundary. For the effective free energy (85), conditions (52) reduce to

$$\frac{\mu^{av}}{\lambda^4}[3+3(\overline{D}^{av})^2+\lambda^4] > 0, \quad \frac{(\mu^{av})^2}{\lambda^6}[3-(\overline{D}^{av})^2+\lambda^4] > 0.$$
(90)

$$\lambda_{\rm PD} = [(\overline{D}^{\rm av})^2 - 3]^{1/4},\tag{91}$$

that can be rewritten, using (87), as

$$\lambda_{\rm PD} = \left[ \frac{c^b \mu^b + \frac{c^a \mu^a}{mr}}{\mu^{\rm av}} (\overline{D}^b)^2 - 3 \right]^{1/4}.$$
(92)

Introduction of the critical condition (92) into (80)<sub>1</sub> yields

$$\overline{E}_{PD}^{av} = \frac{\overline{D}^b}{\sqrt{(\overline{D}^b)^2 - \frac{3\mu^{av}}{c^b\mu^b + \frac{c^a\mu^a}{mr}}}},$$
(93)

while substituting Eq. (91) into Eq.  $(80)_1$  we obtain

providing six real values for each phase.

$$\overline{S}_{11,\text{PD}} = -\frac{4}{\lambda_{\text{PD}}^3} = -4 \left[ \frac{c^b \mu^b + \frac{c^a \mu^a}{mr}}{\mu^{\text{av}}} (\overline{D}^b)^2 - 3 \right]^{-3/4},$$
(94)

where  $\overline{S}_{11} = \tilde{S}_{11}^{av}/\mu^{av}$ . Eq. (94) shows that the tangent moduli of the homogenized continuum looses positive definiteness only when longitudinal homogenized compressive stresses are applied. Moreover, the results reveal that the critical condition (91) corresponds to a peak in the  $\overline{D}^b - \overline{E}^{av}$  curve. Depending on the way the electric excitation is applied to the system, the peak may or may not correspond to loss of stability. When the charge on the electrodes is controlled along the loading path, it is possible to go beyond the peak maintaining the homogeneous quasi-static response. On the other hand, when the voltage is controlled, and as a consequence the value of the electric field, the system cannot sustain an electric field  $\overline{E}^{av}$  higher than that at the peak. Finally, we remark that in all our calculations the failure of Eqs. (90) has been monitored both in each single phase and in the homogenized continuum. For the considered geometry and loading conditions, we have found that loss of positive definiteness of the electroelastic tangent operator occurs earlier along the loading path for the homogenized continuum than for each single phase.

• Existence of diffuse bifurcation modes: microscopic modes (Micro). Diffuse-mode bifurcations are investigated numerically as described in Section 4.1.3. The only simplification introduced in the analysis by the choice of the extended neo-Hookean free energy is that the eigenvalues  $z_i^{\rho}$  of matrices  $\mathbf{V}^{\rho}$  ( $\rho = a, b$ ) satisfy the characteristic equation

$$[k_1^2 - (z^{\rho})^2]^2 [k_1^2 \lambda^4 - (z^{\rho})^2] = \mathbf{0},$$
(95)

In the long-wavelength limit  $(k_1 \rightarrow 0)$  the critical stretch is obtained solving Eq. (66), yielding

$$\lambda_{\text{Micro}}^{\infty} = \left[1 - \frac{\mu^{\text{Hav}}}{\mu^{\text{av}}} + (\overline{D}^{\text{av}})^2 \left(1 - \frac{\varepsilon^{\text{Hav}}}{\varepsilon^{\text{av}}}\right)\right]^{1/4},\tag{96}$$

which can be easily reformulated in terms of  $\overline{D}^b$  using Eq. (87). Note that when  $\mathbf{D}^0=\mathbf{0}$ , Eq. (96) reduces to the well-known expression reported by Triantafyllidis and Maker (1985), namely

$$\lambda_{\text{Micro}|\mathbf{D}^{0}=\mathbf{0}}^{\infty} = \left[1 - \frac{\mu^{\text{Hav}}}{\mu^{\text{av}}}\right]^{1/4}.$$
(97)

- Loss of strong ellipticity of single phases and of the homogenized continuum: macroscopic modes (Macro). While band localization within each layer for the considered material model is excluded [Eq. (70) does not provide any real solution v], for the homogenized continuum substitution of the incremental moduli (83) and (84) in the same equation leads to the same critical loading parameter reported in Eq. (96), so that  $\lambda_{Macro} = \lambda_{Micro}^{\infty}$ . Therefore, a remarkable result of this analysis is that along electromechanical loading paths localization is found to correspond to diffuse modes of infinite wavelengths. Similar results were found by Triantafyllidis and Maker (1985) and Geymonat et al. (1993) for the purely mechanical case.
- *Electric breakdown (EB).* For the geometry and loading conditions described in Section 4.1.1, Eq.  $(73)_2$  and results of Fig. 4 show that the electric field is significantly higher in the phase with lower permittivity. Therefore, taking *b* as the phase characterized by shear modulus and permittivity lower than those of phase *a*, electric breakdown may occur first in phase *b*. Indicating by *E*<sub>EB</sub> the critical value of the electric field, introduction of Eq.  $(44)_3$  into Eq.  $(73)_2$  leads to the critical condition

$$\lambda_{\rm EB} = \sqrt{\frac{\mu^b}{\varepsilon^b} \frac{\overline{D}^b}{E_{\rm EB}}},\tag{98}$$

in the  $\overline{D}^b - \lambda$  plane. Alternatively, in the  $\overline{D}^b - \overline{E}^{av}$  space it can be expressed as

$$\overline{E}^{av} = \frac{e^b}{\mu^b} \frac{E_{EB}^2}{\sqrt{\frac{\mu^{av}}{c^b \mu^b + \frac{c^a \mu^a}{mr}}}} \frac{1}{D^b}.$$
(99)

Here we investigate electric breakdown using a set of parameters typical for silicones ( $E_{EB}$ =350 MV/m,  $\varepsilon_r^b$  = 3,  $\mu^b$  = 100 kPa, see Kornbluh and Pelrine, 2008).

For the considered multilayered structures the critical curves corresponding to the four instability criteria investigated in this paper are reported in Figs. 7–11 with continuous lines; black lines correspond to loss of positive definiteness of the tangent electroelastic constitutive operator (*PD*), blue lines correspond to *microscopic* modes, while red and brown lines denote *macroscopic* mode and *electric breakdown* limits, respectively. Moreover, in the figures loading paths of both type A and type B are reported to visualize the critical point along them.

In Figs. 7, 9 and 10 the critical conditions are sketched in the  $\overline{D}^b - \lambda$  space, while Figs. 8 and 11 show the results in the  $\overline{D}^b - \overline{E}^{av}$  diagram where, using (80)<sub>2</sub>,

$$\overline{E}^{av} = E_2^{0 av} \sqrt{\frac{\varepsilon^{Hav}}{\mu^{av}}} = \frac{\overline{D}^{av}}{\lambda^2}.$$
(100)

The background color in Figs. 7 and 10 identifies the dimensionless longitudinal stress  $\overline{S}_{11} = S_{11}^{av}/\mu^{av}$ . In Figs. 8, 9 and 11 the gray shaded areas denote the region of allowable states for type-A loading paths, while for type-B loading paths the material is stable both in the gray and in the green areas.

The figures clearly highlight the important effect on the failure modes of both the volume fraction of phases and the contrast in material properties. All these effects are investigated separately below.

*Effect of phases volume fraction.* To explore the influence of phases volume fraction on the failure modes of the bilayer, for a contrast in material properties set by m=r=10 we investigate four different values of  $c^a$ , namely  $c^a=0.05$ , 0.2, 0.4, 0.6, and the results are reported in Figs. 7 and 8.

Focusing on the four instability criteria, we observe that

- for a very low value of  $c^a$  (Fig. 7a, where  $c^a$ =0.05), *microscopic* instability represents the limit of the region of allowable states at low values of the applied electric displacement field  $\overline{D}^b$  ( $\overline{D}^b < 1$  in the inset in Fig. 7a), while for higher  $\overline{D}^b$  *macroscopic* bifurcation and loss of positive definiteness of the tangent electroelastic constitutive operator (*PD*) are critical;
- for moderate values of the volume fraction  $c^a$  (Fig. 7b, where  $c^a$ =0.2), only band-localization (*Macro*) and *PD* criteria set the limit for instability over the considered range of  $\overline{D}^b$ ;
- for higher values of  $c^a$  (Fig. 7c and d), *macroscopic* instability is again dominant for low  $\overline{D}^b$  (approximately  $\overline{D}^b < 5$ ), while for  $\overline{D}^b > 5$ , *microscopic* instability represents the critical bifurcation;
- electric breakdown is never critical for the considered range of  $\overline{D}^{b}$  and  $c^{a}$ .

To illustrate the transition between *macroscopic* and *microscopic* instabilities occurring for high  $c^a$  we focus on the case of Fig. 7c (m=r=10 and  $c_a=0.6$ ). Fig. 9a shows both the evolution of  $\lambda$  (on the left vertical axis) and of the critical wavenumbers  $k_{1,cr}$  and  $k_{2,cr}$  (on the right vertical axis) as a function of  $\overline{D}^b$ . At low values of the applied electric displacement field (approximately  $\overline{D}^b < 5$ ) *macroscopic* instability prevails. The results clearly show that at this stage the wavenumber  $k_{1,cr}$  of the diffuse mode tend to zero, consistently with the long wavelength limit analysis. Moreover, the *macroscopic* instability analysis detects the formation of a band of localized fields orthogonal to the layers ( $\mathbf{n}=\mathbf{e}_1$ ). Thus, since  $\eta = 0$  [Eq. (71)], only the deformation localizes into the band, while the electric field is continuous.

Interestingly, for larger values of the applied electric displacement field  $(\overline{D}^b > 5)$  the microscopic instability analysis detects diffuse modes characterized by a critical Bloch parameter  $k_{2,cr}$  different from zero. Therefore, phases a and b at bifurcation deform out-of-phase, as shown in Fig. 9b and c, where the bifurcation modes at  $\overline{D}^b = 5$  and 10 are reported. Differently, when *microscopic* instability is critical at low values of  $\overline{D}^b$  ( $\overline{D}^b < 1$ , see Fig. 7a), the analysis predicts critical diffuse modes with a vanishing Bloch parameter ( $k_2^{cr}=0$ ), so that both phases a and b at bifurcation deform in-phase. This result is consistent with that obtained in the pure mechanical case by Triantafyllidis and Maker (1985), where only in-phase bifurcation modes were found for neo-Hookean materials.

Turning now the attention on the loading paths introduced in Section 5.3, we observe that for type-A loading paths the equilibrium is stable when specimens are subjected to a tensile longitudinal stress ( $\overline{S}_{11}^{av} > 0$ ) for  $c^a < 0.5$ . On the other hand, for type-B loading paths we note that depending on the applied prestretch  $\tilde{\lambda}$  and on  $c^a$ , the critical mode may correspond



**Fig. 7.** Bifurcation of a bilayered dielectric composite subjected to a transverse electric displacement field: critical conditions in the longitudinal stretch ( $\lambda$ )-dimensionless electric displacement ( $\overline{D}^b = D_2^0 / \sqrt{\mu^b e^b}$ ) space for m=r=10. Four phase volume fractions  $c^a$  are considered,  $c^a=0.05$ , 0.2, 0.4, 0.6. Solid lines correspond to *Micro* (blue), *Macro* (red), *PD* (black) and *electric breakdown* (*EB*, brown) instability criteria. Background color corresponds to  $\overline{S}_{11} - \widetilde{S}_{11}^{au} / \mu^{av}$ . Short-dashed (green) curves denote type-A loading paths, while long-dashed (magenta) curves denote type-B loading paths. Critical states are indicated with circular markers. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

either to a *microscopic* or a *macroscopic* instability. Finally, it is interesting to remark that an increase of the prestretch  $\lambda$  not only leads to a change of the bifurcation mode from a localized band to a diffuse mode, but also to an expansion of the region where the structure is stable.

Effect of contrast in material properties. Although composite dielectrics characterized by a wide range of contrast in material properties have been fabricated (Zhang et al., 2002; Huang et al., 2004), here the effect of contrast in material properties on instabilities is explored focusing on m=r=10 and m=r=100. For the same volume fractions as for m=r=10, results pertaining to the case m=r=100 are reported in Figs. 10 and 11, revealing that

- loss of positive definiteness of the tangent electroelastic constitutive operator (*PD*) does not represent a limiting condition for the region of allowable states in the considered range of  $\overline{D}^b$  and  $c^a$ ;
- *electric breakdown* represents a critical condition at large values of  $c^a$ ;
- independently of the volume fraction of phase *a*, band localization is critical for  $\overline{D}^b$  approximately lower than 3, while for larger values of  $\overline{D}^b$  *microscopic* instabilities become dominant.

When tractions are controlled (type-A loading paths), an increase of *m* and *r* has a dramatic effect on the stability of the multilayer. Focusing on  $c^a$ =0.05 and 0.2, Figs. 7a, b show that for *m*=*r*=10 the solid loaded by a longitudinal stress  $\overline{S}_{11} \ge 0$  can deform homogeneously up to a large longitudinal stretch  $\lambda$ , whereas for *m*=*r*=100 (Figs. 10a and b) the critical point is reached at a much smaller longitudinal stretch.



**Fig. 8.** Bifurcation of a bilayered dielectric composite subjected to a transverse electric displacement field: critical conditions in the dimensionless average electric field  $(\overline{E}^{av} = E_2^{0av} \sqrt{\varepsilon^{Hav}/\mu^{av}})$ —dimensionless electric displacement  $(\overline{D}^b = D_2^b / \sqrt{\mu^b \varepsilon^b})$  space for m = r = 10. Four phase volume fractions  $c^a$  are considered,  $c^a = 0.05$ , 0.2, 0.4, 0.6. Solid lines correspond to *Micro* (blue), *Macro* (red), *PD* (black) and *electric breakdown* (*EB*, brown) instability criteria. Short-dashed (green) curves denote type-A loading paths, while long-dashed (magenta) curves denote type-B loading paths. Critical states are indicated with circular markers while the gray (gray-green) shaded area highlights the region of stable type-A (type-B) homogeneous paths. In (c) and (d) the two regions coincide. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 9.** Bifurcation modes of a bilayered dielectric composite subjected to a transverse electric displacement field: (a) critical dimensionless wavenumbers  $\overline{k}_{1,cr} = k_{1,cr}h$  and  $\overline{k}_{2,cr} = k_{2,cr}h$  for  $c^a = 0.6$  and m = r = 10; (b) and (c) graphical representations of modes for  $\overline{D}^b = 5$  and 10.

For type-B loading paths the situation is completely different, since an increase of parameters m and r allows the application of a slightly higher electric displacement prior to bifurcation, specially for weakly prestretched composites with a low volume fractions  $c^a$ .



**Fig. 10.** Bifurcation of a bilayered dielectric composite subjected to a transverse electric displacement field: critical conditions in the longitudinal stretch  $(\lambda)$ -dimensionless electric displacement  $(\overline{D}^b = D_2^0/\sqrt{\mu^b c^b})$  space for m=r=100. Four phase volume fractions  $c^a$  are considered,  $c^a=0.05$ , 0.2, 0.4, 0.6. Solid lines correspond to *Micro* (blue), *Macro* (red), *PD* (black) and *electric breakdown* (*EB*, brown) instability criteria. Background color corresponds to  $\overline{S}_{11} = \overline{S}_{11}^a/\mu^{av}$ . Short-dashed (green) curves denote type-A loading paths, while long-dashed (magenta) curves denote type-B loading paths. Critical states are indicated with circular markers. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

We conclude noting that an increase of *m* and *r* reduces the occurrence of band-localization and *PD* instabilities, promoting *microscopic* bifurcations and *electric breakdown* failure modes.

#### 6. Conclusions

Soft composite dielectrics show great potential in the design of smart devices based on electrosensitive polymers, since their use allows the application of lower voltages as a result of the increased overall dielectric constant. A critical issue related to their development is the prediction of both global and local instabilities that may occur at the macro or at the micro-scale, respectively. Instabilities can be investigated analytically extending to soft dielectrics the well-known theory of bifurcation and stability of nonlinear elastic solids.

In this paper a detailed analysis of instabilities of layered soft dielectric composites under plane deformations has been developed. Four instability criteria have been formulated, namely:

- (i) loss of positive definiteness of the tangent electroelastic constitutive operator of the homogenized continuum (PD);
- (ii) existence of diffuse modes of bifurcation (microscopic instability);
- (iii) existence of localized solutions of the homogenized solid (microscopic instability, corresponding to the loss of ellipticity of the homogenized continuum);

(iv) electric breakdown.

The critical conditions associated with these four criteria have been obtained for a periodic bilayered composite obeying an extended form of the neo-Hookean free-energy function and subjected to a transverse electric displacement field.



**Fig. 11.** Bifurcation of a bilayered dielectric composite subjected to a transverse electric displacement field: critical conditions in the dimensionless average electric field  $(\overline{E}^{av} = E_2^{0av} \sqrt{\epsilon^{Hav}/\mu^{av}})$ —dimensionless electric displacement  $(\overline{D}^b = D_2^0/\sqrt{\mu^b \epsilon^b})$  space for m=r=100. Four phase volume fractions  $c^a$  are considered,  $c^a=0.05$ , 0.2, 0.4, 0.6. Solid lines correspond to *Micro* (blue), *Macro* (red), *PD* (black) and *electric breakdown* (*EB*, brown) instability criteria. Short-dashed (green) curves denote type-A loading paths, while long-dashed (magenta) curves denote type-B loading paths. Critical states are indicated with circular markers, while the gray shaded area highlights the region of stable homogeneous paths. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

The results provide for the first time an analysis of failure mechanisms which may occur in a heterogeneous dielectric under external electrical excitation.

The effect of phases volume fraction and contrast in material properties on instabilities has been investigated, revealing that for low volume fractions of the stiffer phase – a relevant case in the application – an increase of the contrast in material properties of the two phases promotes a shift from PD to microscopic instabilities. Moreover, while for constituents not strongly dissimilar band-localization is critical, for high-contrast phases microscopic instabilities and electric breakdown are the dominant failure modes for composite soft dielectrics.

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#### Appendix A. Push-forward operations defining quantities in the updated Lagrangian formulation

The integration of  $(14)_{2,4}$  over  $\partial B$  and the change of variables from reference to current configurations, observing that **F** is continuous across the interface  $\partial B$ , provide the following push-forward transformations:

$$\int_{\partial \mathcal{B}^0} \left[ \dot{\mathbf{S}} \right] \mathbf{n}^0 \, dA^0 = \int_{\partial \mathcal{B}} \left[ \dot{\mathbf{S}} \right] \frac{1}{J} \mathbf{F}^T \mathbf{n} \, dA = \int_{\partial \mathcal{B}} \left[ \mathbf{\Sigma} \right] \mathbf{n} \, dA,\tag{A.1}$$

and

$$\int_{\partial B^0} \left[\!\left[\dot{\mathbf{D}}^0\right]\!\right] \cdot \mathbf{n}^0 \, dA^0 = \int_{\partial B} \left[\!\left[\dot{\mathbf{D}}^0\right]\!\right] \cdot \frac{1}{J} \mathbf{F}^T \mathbf{n} \, dA = \int_{\partial B} \left[\!\left[\dot{\mathbf{D}}\right]\!\right] \cdot \mathbf{n} \, dA,\tag{A.2}$$

which yield the identities (21).

The integrals in (A.1) and (A.2) represent the total incremental force and charge in the system, respectively, that vanish as incremental body forces and volume charge are null. Therefore, application of the divergence theorem to (A.1) and (A.2) yields the incremental field equations  $(24)_{1,2}$  in the updated Lagrangian formulation.

The updated Lagrangian formulation of Eq.  $(24)_3$  is obtained considering an arbitrary integration path  $\Gamma^0$  in the reference configuration and an infinitesimal fibre  $d\mathbf{l}^0$  tangent to  $\Gamma^0$ . In the current configuration  $\Gamma = \chi(\Gamma^0)$  and  $d\mathbf{l} = \mathbf{F} d\mathbf{l}^0$ , so that

$$\int_{\Gamma^0} \dot{\mathbf{E}}^0 \cdot d\mathbf{l}^0 = \int_{\Gamma} \dot{\mathbf{E}}^0 \cdot \mathbf{F}^{-1} \, d\mathbf{l} = \int_{\Gamma} \hat{\mathbf{E}} \cdot d\mathbf{l}. \tag{A.3}$$

Eq. (A.3) provides (in a way alternative to Eq. (22)) the relationship (23). Since the electric field is conservative, the integral (A.3) evaluated along a close path  $\Gamma_c^0$  is null. Therefore, application of Stokes' theorem to (A.3) provides Eq. (24)<sub>3</sub>.

## Appendix B. Coefficients of the expansion (65)

$$\begin{split} \xi_{1} &= \frac{(c^{a})^{2}}{2} \operatorname{tr}(\tilde{\mathbf{Z}}^{a})^{2} + \frac{(c^{b})^{2}}{2} \operatorname{tr}(\tilde{\mathbf{Z}}^{b})^{2} + c^{a}c^{b}\operatorname{tr}[\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}], \\ \xi_{2} &= \frac{(c^{a})^{4}}{24} \operatorname{tr}(\tilde{\mathbf{Z}}^{a})^{4} + \frac{(c^{b})^{4}}{24} \operatorname{tr}(\tilde{\mathbf{Z}}^{b})^{4} + \frac{(c^{a}c^{b})^{2}}{4} \operatorname{tr}[(\tilde{\mathbf{Z}}^{b})^{2}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{2}] + \frac{c^{a}(c^{b})^{3}}{6} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{3}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}] + \frac{c^{b}(c^{a})^{3}}{6} \operatorname{tr}[\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{3}], \\ \xi_{3} &= \frac{(c^{a})^{6}}{720} \operatorname{tr}(\tilde{\mathbf{Z}}^{a})^{6} + \frac{(c^{b})^{6}}{720} \operatorname{tr}(\tilde{\mathbf{Z}}^{b})^{6} + \frac{(c^{b}c^{a})^{3}}{36} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{3}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{3}] \\ &+ \frac{c^{a}(c^{b})^{5}}{120} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{5}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}] + \frac{c^{b}(c^{a})^{5}}{120} \operatorname{tr}[\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{5}] + \frac{(c^{a})^{4}(c^{b})^{2}}{48} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{4}] + \frac{(c^{a})^{2}(c^{b})^{4}}{48} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{4}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{2}], \end{split} \tag{B 1}$$

$$\begin{aligned} \xi_{4} &= (c^{a}c^{b})^{2} \{ \operatorname{tr}[(\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a})^{2}] - \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{2}] \}, \end{aligned} \tag{B.2} \\ \xi_{5} &= (c^{a})^{2} (c^{b})^{4} \left\{ \frac{1}{3} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{3}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}] + \frac{1}{4} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}] \\ &- \frac{7}{12} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{4}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{2}] \right\} + (c^{a})^{4} (c^{b})^{2} \left\{ \frac{1}{3} \operatorname{tr}[\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{3}\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}\tilde{\mathbf{Z}}^{a}] \\ &+ \frac{1}{4} \operatorname{tr}[\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{2}\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{2}] - \frac{7}{12} \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{4}] \right\} + (c^{a}c^{b})^{3} \{\operatorname{tr}[\mathbf{A}\tilde{\mathbf{Z}}^{b}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{2}] - \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{3}\mathbf{A}^{-1}(\tilde{\mathbf{Z}}^{a})^{3}] \right\}, \end{aligned} \tag{B.3}$$

$$\xi_{6} = (c^{a})^{2} (c^{b})^{4} \{5 \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2} \mathbf{A}^{-1} \tilde{\mathbf{Z}}^{a} \mathbf{A} \tilde{\mathbf{Z}}^{b} \mathbf{A}^{-1} \tilde{\mathbf{Z}}^{a} \mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2} \mathbf{A}^{-1} (\tilde{\mathbf{Z}}^{a})^{2}] - 8 \operatorname{tr}[\mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2} \mathbf{A}^{-1} (\tilde{\mathbf{Z}}^{a})^{2}] + 12 \operatorname{tr}[\mathbf{A}\tilde{\mathbf{Z}}^{b} \mathbf{A}^{-1} \tilde{\mathbf{Z}}^{a} \mathbf{A}(\tilde{\mathbf{Z}}^{b})^{2} \mathbf{A}^{-1} (\tilde{\mathbf{Z}}^{a})^{2}], \quad (B.4)$$

with  $\tilde{\mathbf{Z}}^{\rho} = \mathbf{Z}^{\rho}/k_1$  and  $\mathbf{A} = (\mathbf{G}^a)^{-1}\mathbf{G}^b$ .

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